

TITLE

## POLYMERIZATION OF OLEFINIC COMPOUNDS

FIELD OF THE INVENTION

The polymerization of olefins is catalyzed by transition metal  
5 complexes of selected imines, amines or phosphines containing another  
group such as ester or amide, and in some instances other olefinic  
compounds such as unsaturated esters may be copolymerized with  
olefins. Useful transition metals include Ni, Fe, Ti and Zr. Certain types of  
late transition metal complexes are especially useful in making polymers  
10 containing polar comonomers.

TECHNICAL BACKGROUND

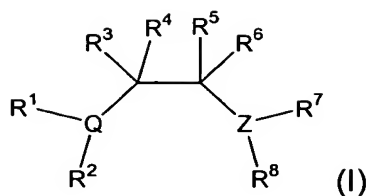
The polymerization of olefins such as ethylene and propylene is a  
very important commercial activity, and such polymers in various forms are  
made in enormous quantities for very many uses. Various methods are  
15 known for polymerizing olefins, such as free radical polymerization of  
ethylene, and coordination polymerization using catalysts such as Ziegler-  
Natta-type and metallocene-type catalysts. Nevertheless, given the  
importance of polyolefins new catalysts are constantly being sought for  
such polymerizations, to lower the cost of production and/or make new,  
20 and hopefully improved, polymer structures. More recently so-called  
single site catalysts using late transition metal complexes have been  
developed, and they have proved in many instances to give different  
polymers than the earlier known early transition metal catalysts. See, for  
example, US 5,714,556, US 5,880,241 and US 6,103,658 (all of which are  
25 incorporated by reference herein for all purposes as if fully set forth).

Another type of useful polyolefin is one that contains polar  
comonomers, such as acrylates. These copolymers are made especially  
well by a new type of complex in which a certain type of ligand is used.

SUMMARY OF THE INVENTION

30 This invention concerns new transition metal complexes, and  
processes for the polymerization of olefins using such new transition metal  
complexes.

A first aspect of the present invention concerns a Group 3 through  
11 (IUPAC) transition metal or a lanthanide metal complex of a ligand of  
35 the formula (I)



wherein:

Z is nitrogen or oxygen; and

5 Q is nitrogen or phosphorous;

provided that:

when Q is phosphorous and Z is nitrogen: R<sup>1</sup> and R<sup>2</sup> are each independently hydrocarbyl, silyl, or substituted hydrocarbyl having an E<sub>s</sub> of about -0.90 or less; R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup>, and R<sup>6</sup> are each independently  
 10 hydrogen, hydrocarbyl, a functional group, or substituted hydrocarbyl; R<sup>7</sup> is hydrogen, hydrocarbyl, substituted hydrocarbyl, or silyl; and R<sup>8</sup> is hydrocarbyl, substituted hydrocarbyl or silyl; provided that any two of R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup>, R<sup>6</sup>, R<sup>7</sup> and R<sup>8</sup> vicinal or geminal to one another together may form a ring;

15 when Q is phosphorous and Z is oxygen:

R<sup>1</sup> and R<sup>2</sup> are each independently hydrocarbyl, silyl, or substituted hydrocarbyl having an E<sub>s</sub> of about -0.90 or less; R<sup>3</sup> and R<sup>4</sup> are each independently hydrogen, hydrocarbyl, a functional group, or substituted hydrocarbyl; R<sup>5</sup> and R<sup>7</sup> taken together form a double bond; R<sup>8</sup> is not  
 20 present; and R<sup>6</sup> is -OR<sup>9</sup>, -NR<sup>10</sup>R<sup>11</sup>, hydrocarbyl or substituted hydrocarbyl, wherein R<sup>9</sup> is hydrocarbyl or substituted hydrocarbyl, and R<sup>10</sup> and R<sup>11</sup> are each independently hydrogen, hydrocarbyl or substituted hydrocarbyl; and provided that any two of R<sup>3</sup>, R<sup>4</sup>, and R<sup>6</sup> vicinal or geminal to one another may form a ring; or

25 R<sup>1</sup> and R<sup>2</sup> are each independently hydrocarbyl, silyl, or substituted hydrocarbyl having an E<sub>s</sub> of about -0.90 or less; R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup> and R<sup>6</sup> are each independently hydrogen, hydrocarbyl, a functional group, or substituted hydrocarbyl; R<sup>7</sup> is hydrocarbyl, silyl, or substituted hydrocarbyl; and R<sup>8</sup> is not present; and provided that any two of R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup>, R<sup>6</sup>, and R<sup>7</sup>  
 30 vicinal or geminal to one another may form a ring;

when Q is nitrogen: R<sup>1</sup> is hydrocarbyl, silyl, or substituted hydrocarbyl having an E<sub>s</sub> of about -0.90 or less; R<sup>2</sup> and R<sup>3</sup> are each independently hydrogen, hydrocarbyl, a functional group, or substituted hydrocarbyl, or taken together form a double bond; R<sup>4</sup> is hydrogen,  
 35 hydrocarbyl, a functional group, or substituted hydrocarbyl; Z is oxygen;

R<sup>6</sup> and R<sup>7</sup> taken together form a double bond; R<sup>8</sup> is not present; R<sup>5</sup> is -OR<sup>12</sup>, -R<sup>13</sup> or -NR<sup>14</sup>R<sup>15</sup>, wherein R<sup>12</sup> and R<sup>13</sup> are each independently hydrocarbyl or substituted hydrocarbyl, and R<sup>14</sup> and R<sup>15</sup> are each hydrogen, hydrocarbyl or substituted hydrocarbyl; provided that when R<sup>2</sup> and R<sup>3</sup> taken together form an aromatic ring, R<sup>1</sup> and R<sup>4</sup> are not present; and further provided that any two of R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup> and R<sup>5</sup> vicinyl or germinal to one another together may form a ring.

A second aspect of the present invention concerns a "first" process for the polymerization of olefins, comprising the step of contacting, under polymerizing conditions, one or more polymerizable olefins with an active polymerization catalyst comprising the aforementioned transition metal complex.

A third aspect of this invention is a "second" process for the manufacture of a polar copolymer by contacting, under polymerizing conditions, a hydrocarbon olefin, a polar olefin, and a polymerization catalyst comprising a nickel complex of a bidentate ligand which is an active ligand. This third aspect also includes an improved process for the manufacture of a polar copolymer by contacting, under polymerizing conditions, a hydrocarbon olefin, a polar olefin, and a polymerization catalyst comprising a nickel complex, wherein the improvement comprises that the polymerization catalyst comprises a nickel metal complex of a bidentate ligand which is an active ligand.

These and other features and advantages of the present invention will be more readily understood by those of ordinary skill in the art from a reading of the following detailed description. It is to be appreciated that certain features of the invention which are, for clarity, described below in the context of separate embodiments, may also be provided in combination in a single embodiment. Conversely, various features of the invention which are, for brevity, described in the context of a single embodiment, may also be provided separately or in any subcombination.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Herein, certain terms are used. Some of them are:

A "hydrocarbyl group" is a univalent group containing only carbon and hydrogen. If not otherwise stated, it is preferred that hydrocarbyl groups (and alkyl groups) herein contain 1 to about 30 carbon atoms.

By "substituted hydrocarbyl" herein is meant a hydrocarbyl group that contains one or more substituent groups which are inert under the process conditions to which the compound containing these groups is

subjected (e.g., an inert functional group, see below). The substituent groups also do not substantially detrimentally interfere with the polymerization process or operation of the polymerization catalyst system. If not otherwise stated, it is preferred that substituted hydrocarbyl groups herein contain 1 to about 30 carbon atoms. Included in the meaning of "substituted" are chains or rings containing one or more heteroatoms, such as nitrogen, oxygen and/or sulfur, and the free valence of the substituted hydrocarbyl may be to the heteroatom. In a substituted hydrocarbyl, all of the hydrogens may be substituted, as in trifluoromethyl.

By "(inert) functional group" herein is meant a group other than hydrocarbyl or substituted hydrocarbyl that is inert under the process conditions to which the compound containing the group is subjected. The functional groups also do not substantially interfere with any process described herein that the compound in which they are present may take part in. Examples of functional groups include halo (fluoro, chloro, bromo and iodo), and ether such as -OR<sup>22</sup> wherein R<sup>22</sup> is hydrocarbyl or substituted hydrocarbyl. In cases in which the functional group may be near a transition metal atom the functional group should not coordinate to the metal atom more strongly than the groups in those compounds are shown as coordinating to the metal atom, that is they should not displace the desired coordinating group.

By "silyl" herein is meant a monovalent group whose free valence is to a silicon atom. The other three valencies of the silicon atom are bound to other groups such as alkyl, halo, alkoxy, etc. Silyl groups are also included in functional groups.

By a "cocatalyst" or a "catalyst activator" is meant one or more compounds that react with a transition metal compound to form an activated catalyst species. One such catalyst activator is an "alkyl aluminum compound" which, herein, is meant a compound in which at least one alkyl group is bound to an aluminum atom. Other groups such as, for example, alkoxide, hydride and halogen may also be bound to aluminum atoms in the compound.

By "neutral Lewis base" is meant a compound, which is not an ion, which can act as a Lewis base. Examples of such compounds include ethers, amines, sulfides, olefins, and organic nitriles.

By "neutral Lewis acid" is meant a compound, which is not an ion, which can act as a Lewis acid. Examples of such compounds include

boranes, alkylaluminum compounds, aluminum halides, and antimony [V] halides.

By "cationic Lewis acid" is meant a cation which can act as a Lewis acid. Examples of such cations are sodium and silver cations.

5 By an "empty coordination site" is meant a potential coordination site on a transition metal atom that does not have a ligand bound to it. Thus if an olefin molecule (such as ethylene) is in the proximity of the empty coordination site, the olefin molecule may coordinate to the metal atom.

10 By a "ligand into which an olefin molecule may insert between the ligand and a metal atom", or a "ligand that may add to an olefin", is meant a ligand coordinated to a metal atom which forms a bond (L-M) into which an olefin molecule (or a coordinated olefin molecule) may insert to start or continue a polymerization. For instance, with ethylene this may take the  
15 form of the reaction (wherein L is a ligand):



By a "ligand which may be displaced by an olefin" is meant a ligand coordinated to a transition metal which, when exposed to the olefin (such  
20 as ethylene), is displaced as the ligand by the olefin.

By a "monoanionic ligand" is meant a ligand with one negative charge.

By a "neutral ligand" is meant a ligand that is not charged.

"Alkyl group" and "substituted alkyl group" have their usual meaning  
25 (see above for substituted under substituted hydrocarbyl). Unless otherwise stated, alkyl groups and substituted alkyl groups preferably have 1 to about 30 carbon atoms.

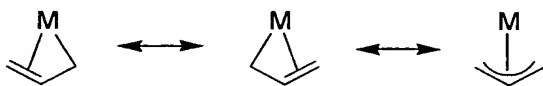
By "aryl" is meant a monovalent aromatic group in which the free valence is to the carbon atom of an aromatic ring. An aryl may have one  
30 or more aromatic rings which may be fused, connected by single bonds or other groups.

By "substituted aryl" is meant a monovalent aromatic group substituted as set forth in the above definition of "substituted hydrocarbyl". Similar to an aryl, a substituted aryl may have one or more aromatic rings  
35 which may be fused, connected by single bonds or other groups; however, when the substituted aryl has a heteroaromatic ring, the free valence in the

substituted aryl group can be to a heteroatom (such as nitrogen) of the heteroaromatic ring instead of a carbon.

By "R<sup>x</sup> and R<sup>y</sup> taken together may form a double bond" is meant a structure originally written as -CRR<sup>x</sup>-CRR<sup>y</sup>- is, when R<sup>x</sup> and R<sup>y</sup> do in fact  
5 form a double bond, -CR=CR-. In this example each R is simply another group on a carbon atom to satisfy carbon's normal valence requirement of 4.

By a "π-allyl group" is meant a monoanionic ligand comprised of 1 sp<sup>3</sup> and two sp<sup>2</sup> carbon atoms bound to a metal center in a delocalized η<sup>3</sup>  
10 fashion indicated by



The three carbon atoms may be substituted with other hydrocarbyl groups  
15 or functional groups.

By "E<sub>s</sub>" is meant a parameter to quantify steric effects of various groupings, see R. W. Taft, Jr., *J. Am. Chem. Soc.*, vol. 74, p. 3120-3128 (1952), and M.S. Newman, Steric Effects in Organic Chemistry, John Wiley & Sons, New York, 1956, p. 598-603, which are both hereby  
20 included by reference. For the purposes herein, the E<sub>s</sub> values are those described for o-substituted benzoates in these publications. If the value of E<sub>s</sub> for a particular group is not known, it can be determined by methods described in these references.

By "under polymerization conditions" is meant the conditions for a  
25 polymerization that are usually used for the particular polymerization catalyst system being used. These conditions include things such as pressure, temperature, catalyst and cocatalyst (if present) concentrations, the type of process such as batch, semibatch, continuous, gas phase, solution or liquid slurry etc., except as modified by conditions specified or  
30 suggested herein. Conditions normally done or used with the particular polymerization catalyst system, such as the use of hydrogen for polymer molecular weight control, are also considered "under polymerization conditions". Other polymerization conditions such as presence of  
35 hydrogen for molecular weight control, other polymerization catalysts, etc., are applicable with this polymerization process and may be found in the references cited herein.

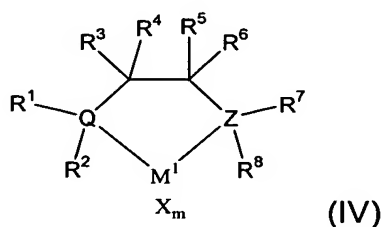
By a "hydrocarbon olefin" is meant an olefin containing only carbon and hydrogen.

By a "polar (co)monomer" or "polar olefin" is meant an olefin which contains elements other than carbon and hydrogen. When copolymerized into a polymer the polymer is termed a "polar copolymer". Useful polar comonomers are found in US 5,866,663, WO 9905189, WO 9909078 and WO 9837110, and S. D. Ittel, et al., *Chem. Rev.*, vol. 100, p. 1169-1203 (2000), all of which are incorporated by reference herein for all purposes as if fully set forth. Also included as a polar comonomer is CO (carbon monoxide).

For ease in describing the invention, the term "transition metal" as used herein generally refers to Groups 3 through 11 of the periodic table (IUPAC) and the lanthanides, especially those in the 4th, 5th, 6th, and 10th periods. Suitable transition metals include Ni, Pd, Cu, Pt, Fe, Co, Ti, Zr, V, Hf, Cr, Ru, Rh and Re, with Ni, Fe, Ti, Zr, Cu and Pd being more preferred and Ni, Fe, Ti and Zr being especially preferred. Preferred oxidation states for some of the transition metals are Ni[II], Ti[IV], Zr[IV], and Pd[II].

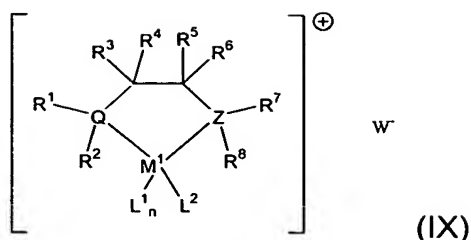
The first polymerizations herein are carried out by a transition metal complex of (I).

Transition metal complexes in which (I) appears may, for example, have the formula (IV)



wherein R<sup>1</sup> through R<sup>8</sup>, Q and Z are as defined above; M<sup>1</sup> is a transition metal; each X is independently a monoanion; and m is an integer equal to an oxidation state of M<sup>1</sup>.

Transition metal complexes in which (I) appears may, for example, also have the formula (IX)



wherein R<sup>1</sup> through R<sup>8</sup>, Q and Z are as defined above; M<sup>1</sup> is a transition metal; L<sup>1</sup> is a monoanionic ligand which may add to an olefin; n is equal to the oxidation state of M<sup>1</sup> minus one; L<sup>2</sup> is a ligand which may be displaced by an olefin or is an empty coordination site; or L<sup>1</sup> and L<sup>2</sup> taken together are a bidentate monoanionic ligand into which an olefin molecule may insert between the ligand and a metal atom; and W is a relatively noncoordinating anion.

In (I) and in all complexes and compounds containing (I), it is preferred that:

when Q is nitrogen:

R<sup>1</sup> is (VII) (see below) or a 2,5-disubstituted pyrrole, more preferably (VII); and/or

R<sup>4</sup> is alkyl, especially alkyl containing 1 to 6 carbon atoms, more preferably methyl; and/or

R<sup>5</sup> is -OR<sup>12</sup>, -R<sup>13</sup> or -NR<sup>14</sup>R<sup>15</sup>; and/or

R<sup>12</sup> is alkyl, especially alkyl containing 1 to 6 carbon atoms; and/or

R<sup>13</sup> is alkyl, especially alkyl containing 1 to 6 carbon atoms; and/or

R<sup>14</sup> is alkyl containing 1 to 6 carbon atoms, especially methyl; and/or

R<sup>15</sup> is hydrogen or alkyl; and/or

R<sup>15</sup> and R<sup>4</sup> taken together form a ring; and/or

R<sup>4</sup> and R<sup>12</sup> taken together form a ring; and/or

R<sup>4</sup> and R<sup>13</sup> taken together form a ring;

when Q is phosphorous and Z is nitrogen:

R<sup>1</sup> and R<sup>2</sup> are t-butyl; and/or

R<sup>8</sup> is aryl or substituted aryl, especially (VII); and/or

R<sup>3</sup>, R<sup>4</sup> and R<sup>5</sup> are hydrogen, hydrocarbyl or substituted hydrocarbyl, especially hydrogen; and/or

R<sup>6</sup> is aryl or substituted aryl, more preferably phenyl; and/or

R<sup>7</sup> is benzyl;

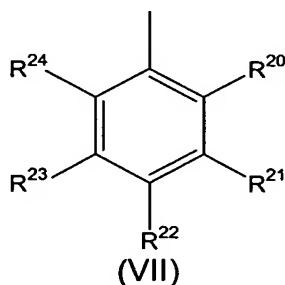
when Q is phosphorous and Z is oxygen, and R<sup>5</sup> and R<sup>7</sup> taken together form a double bond:



R<sup>1</sup> and R<sup>2</sup> are t-butyl;  
 R<sup>3</sup> and R<sup>4</sup> are hydrogen; and/or  
 R<sup>6</sup> is -OR<sup>9</sup>, -NR<sup>10</sup>R<sup>11</sup>, alkyl, aryl or substituted aryl; and/or  
 R<sup>9</sup> is alkyl or aryl, especially alkyl containing 1 to 6 carbon atoms or  
 5 phenyl, and more preferably methyl; and/or  
 R<sup>10</sup> and R<sup>11</sup> are each independently aryl or substituted aryl, more  
 preferably both phenyl;\n  
 when Q is phosphorous and Z is oxygen, and R<sup>7</sup> is hydrocarbyl or  
 substituted hydrocarbyl:

10 R<sup>1</sup> and R<sup>2</sup> are t-butyl;  
 R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup>, and R<sup>6</sup> are hydrogen; and or  
 R<sup>7</sup> is aryl or substituted aryl.

In many of the above formulas a preferred aryl or substituted aryl group is (VII).



15 In (VII) R<sup>20</sup>, R<sup>21</sup>, R<sup>22</sup>, R<sup>23</sup> and R<sup>24</sup> are each independently  
 hydrogen, hydrocarbyl substituted hydrocarbyl or a functional group,  
 20 provided than any two of R<sup>20</sup>, R<sup>21</sup>, R<sup>22</sup>, R<sup>23</sup> and R<sup>24</sup> ortho to another  
 taken together may form a ring. Preferably one of R<sup>20</sup> and R<sup>24</sup> is not  
 hydrogen, and more preferably both of R<sup>20</sup> and R<sup>24</sup> are not hydrogen.  
 Useful groups for R<sup>20</sup> and R<sup>24</sup> include alkyl, especially alkyl containing 1  
 to 6 carbon atoms, halo especially chloro and bromo, alkoxy, aryl or  
 25 substituted aryl especially phenyl. Individual useful groups (VII) include  
 2,6-diisopropylphenyl, 2,6-dimethylphenyl, 2,4,6-trimethylphenyl, 2,6-  
 dimethyl-4-chlorophenyl, and 2,6-dimethyl-4-bromophenyl.

30 Ligands (I) in which Q is nitrogen may be made by the reaction of a  
 pyruvic (or a pyruvic-like compound which contains a group to be R<sup>4</sup> that  
 is something other than methyl) acid ester or amide, or an  $\alpha,\beta$ -dione and  
 an appropriate arylamine. Ligands (I) in which Q is phosphorous and Z is  
 nitrogen may be prepared by the reaction of an appropriate imine with (di-  
 t-butylphosphino)methyl lithium, with subsequent reaction of the lithium  
 amide formed with a halocarbon such as benzyl bromide.

Transition metal complexes having neutral ligands such as (IV) and (IX) can be made by a variety of methods, see for instance previously incorporated US 5,880,241. In part how such compounds are made depends upon the transition metal compound used in the synthesis of the complex and in what each X (anion) in the final product is. For example, for transition metals such as Ni[II], Fe[II], Co[II], Ti[IV] and Zr[IV] a metal halide such as the chloride may be mixed with the neutral ligand and transition metal complex, wherein X is halide. When it is desired that one of X be a relatively noncoordinating anion and another X is an anion which may add across an olefinic bond (as in ethylene), for example using a nickel compound, then nickel allyl chloride dimer may be mixed with a neutral ligand in the presence of an alkali metal salt of a relatively noncoordinating anion such as sodium tetrakis[3,5-bistrifluoromethylphenyl]borate (BAF for the anion alone) to form a complex in which one X is  $\pi$ -allyl and the other anion BAF.

For the transition metal complexes in which (I) (a neutral ligand) is present preferred transition metals are Pd, Ni, Fe, Co, Ti, Zr, Hf, Sc, V, Cr, and Ru, and especially preferred transition metals are Pd, Ni, Ti, Zr, Fe and Co, and a more preferred transition metals are Ni, Fe, Ti and Zr.

In the first process useful olefins include an olefin of the formula  $H_2C=CH(CH_2)_nG$  (VIII), where n is 0 or an integer of 1 or more, g is hydrogen,  $-CO_2R^{25}$  or  $-C(O)NR^{25}_2$ , and each  $R^{25}$  is independently hydrogen, or hydrocarbyl substituted hydrocarbyl, styrenes, norbornenes and cyclopentenenes. Preferred olefins are when g is hydrogen and n is 0 (ethylene); or g is hydrogen and n is an integer of 1 to 12, especially one (propylene); or g is  $-CO_2R^{25}$  wherein  $R^{25}$  is alkyl, especially alkyl containing 1 to 6 carbon atoms and more preferably methyl; and when g is  $-CO_2R^{25}$ , and n is 0 or an integer of 2 to 12. Copolymers may also be prepared. A preferred copolymer is one containing ethylene and one or more others of (VIII), for example the copolymers ethylene/1-hexene, ethylene/propylene, ethylene/methyl acrylate (n is 0 and  $R^{25}$  is methyl), and ethylene/methyl- or ethyl-1-undecylenate.

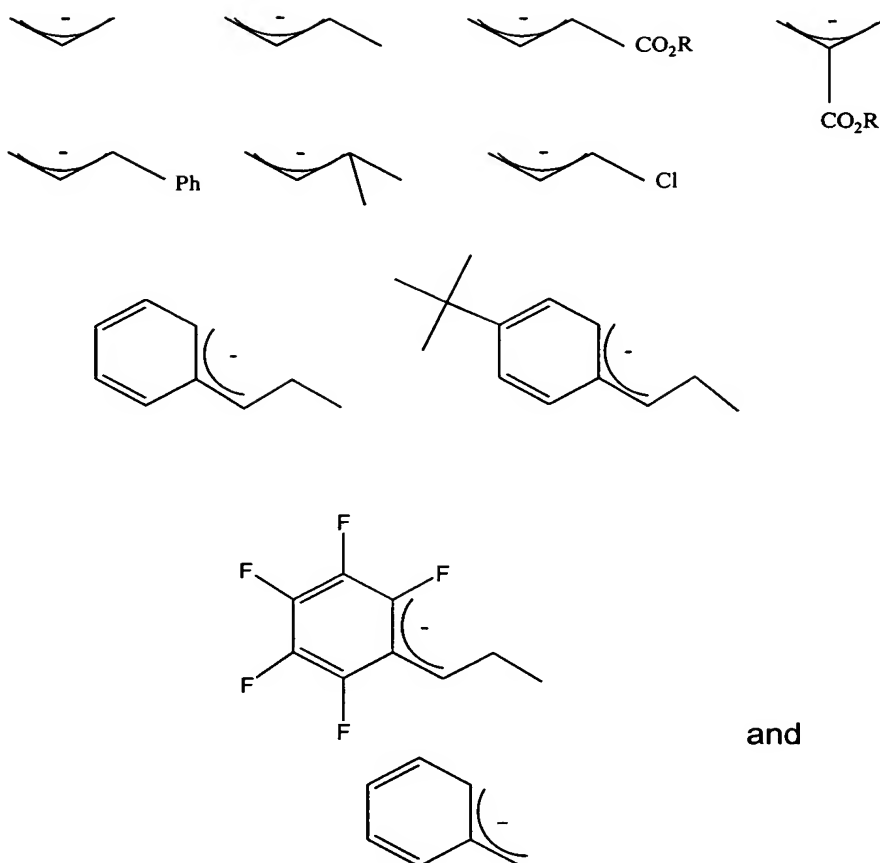
In (IX) when an olefin may insert between  $L^1$  and the transition metal atom, and  $L^2$  is an empty coordination site or is a ligand which may be displaced by an olefin, or  $L^1$  and  $L^2$  taken together are a bidentate monoanionic ligand into which an olefin may inserted between that ligand and the transition metal atom, (IX) may by itself catalyze the polymerization of an olefin. Examples of  $L^1$  which form a bond with the

metal into which an olefin may insert between it and the transition metal atom are hydrocarbyl and substituted hydrocarbyl, especially phenyl and alkyl, and particularly methyl, hydride, and acyl; and ligands  $L^2$  which ethylene may displace include phosphine such as triphenylphosphine, nitrile such as acetonitrile, ether such as ethyl ether, pyridine, and tertiary alkylamines such as TMEDA (N,N,N',N'-tetramethylethylenediamine). Ligands in which  $L^1$  and  $L^2$  taken together are a bidentate monoanionic ligand into which an olefin may insert between that ligand and the transition metal atom include  $\pi$ -allyl- or  $\pi$ -benzyl-type ligands (in this instance, sometimes it may be necessary to add a neutral Lewis acid cocatalyst such as triphenylborane to initiate the polymerization, see for instance previously incorporated US 5,880,241). For a summary of which ligands ethylene may insert into (between the ligand and transition metal atom) see for instance J. P. Collman, et al., Principles and Applications of Organotransition Metal Chemistry, University Science Books, Mill Valley, CA, 1987.

If for instance  $L^1$  is not a ligand into which ethylene may insert between it and the transition metal atom or if (IV) is present, it may be possible to add a cocatalyst which may convert  $L^1$  or X into a ligand which will undergo such an insertion. Thus if  $L^1$  or X is halide such as chloride or bromide, or carboxylate, it may be converted to hydrocarbyl such as alkyl by use of a suitable alkylating agent such as an alkylaluminum compound, a Grignard reagent or an alkyllithium compound. It may be converted to hydride by using of a compound such as sodium borohydride. It is preferred that when the transition metal is alkylated or hydrided, that a relatively noncoordinating anion is formed. Such reactions are described in previously incorporated US 5,880,241.

A preferred cocatalyst in the first process is an alkylaluminum compound, and useful alkylaluminum compounds include trialkylaluminum compounds such as triethylaluminum, trimethylaluminum and tri-*i*-butylaluminum, alkyl aluminum halides such as diethylaluminum chloride and ethylaluminum dichloride, and aluminoxanes such as methylaluminoxane.

In another preferred form  $L^1$  and  $L^2$  taken together may be a  $\pi$ -allyl or  $\pi$ -benzyl group such as



5

wherein R is hydrocarbyl, and  $\pi$ -allyl and  $\pi$ -benzyl groups are preferred. When L<sup>1</sup> and L<sup>2</sup> taken together are  $\pi$ -allyl or  $\pi$ -benzyl, in order to initiate the polymerization it may be useful to have a Lewis acid such as triphenylboron or tris(pentafluorophenyl)boron also present.

10

In the first polymerization process herein, the temperature at which the polymerization is carried out is about -100°C to about +200°C, preferably about -60°C to about 170°C, more preferably about -20°C to about 140°C. The pressure of the ethylene or other gaseous olefin at which the polymerization is carried out is not critical, atmospheric pressure to about 275 MPa being a suitable range.

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The first polymerization process herein may be run in the presence of various liquids, particularly aprotic organic liquids. The catalyst system, ethylene or other olefinic monomer, and/or polymer may be soluble or insoluble in these liquids, but obviously these liquids should not prevent the polymerization from occurring. Suitable liquids include alkanes, cycloalkanes, selected halogenated hydrocarbons, and aromatic hydrocarbons. Specific useful solvents include hexane, toluene, benzene, methylene chloride, 1,2,4-trichlorobenzene and p-xylene.

20

The first polymerization process herein may also initially be carried out in the "solid state" by, for instance, supporting the transition metal compound on a substrate such as silica or alumina, activating if necessary it with one or more cocatalysts and contacting it with, say, ethylene.

5 Alternatively, the support may first be contacted (reacted) with a cocatalysts (if needed) such as an alkylaluminum compound, and then contacted with an appropriate transition metal compound. The support may also be able to take the place of a Lewis or Bronsted acid, for instance an acidic clay such as montmorillonite, if needed. These  
10 "heterogeneous" catalysts may be used to catalyze polymerization in the gas phase or the liquid phase. By gas phase is meant that a gaseous olefin is transported to contact with the catalyst particle. In a preferred form of gas phase polymerization the polymerization catalysts and/or polymer formed is in the form of a fluidized bed.

15 In all of the polymerization processes described herein olefinic oligomers and polymers are made. They may range in molecular weight from oligomeric POs (polyolefins), to lower molecular weight oils and waxes, to higher molecular weight POs. One preferred product is a POs with a degree of polymerization (DP) of about 10 or more, preferably about  
20 40 or more. By "DP" is meant the average number of repeat units in a PO molecule.

Depending on their properties, the POs made by the processes described herein are useful in many ways. For instance if they are thermoplastics, they may be used as molding resins, for extrusion, films,  
25 etc. If they are elastomeric, they may be used as elastomers. If they contain functionalized monomers such as acrylate esters or other polar monomers, they are useful for other purposes, see for instance previously incorporated US 5,880,241.

Depending on the first process conditions used and the  
30 polymerization catalyst system chosen, the POs may have varying properties. Some of the properties that may change are molecular weight and molecular weight distribution, crystallinity, melting point, and glass transition temperature. Except for molecular weight and molecular weight distribution, branching can affect all the other properties mentioned, and  
35 branching may be varied (using the same nickel compound) using methods described in previously incorporated US 5,880,241.

It is known that blends of distinct polymers, that vary for instance in the properties listed above, may have advantageous properties compared

to "single" polymers. For instance it is known that polymers with broad or bimodal molecular weight distributions may be melt processed (be shaped) more easily than narrower molecular weight distribution polymers. Thermoplastics such as crystalline polymers may often be toughened by blending with elastomeric polymers.

Therefore, methods of producing polymers which inherently produce polymer blends are useful especially if a later separate (and expensive) polymer mixing step can be avoided. However in such polymerizations one should be aware that two different catalysts may interfere with one another, or interact in such a way as to give a single polymer.

In such a process the transition metal containing polymerization catalyst disclosed herein can be termed the first active polymerization catalyst. A second active polymerization catalyst (and optionally one or more others) is used in conjunction with the first active polymerization catalyst. The second active polymerization catalyst may be another late transition metal catalyst, for example as described in previously incorporated US 5,880,241, US 6,060,569 and US 6,174,795, as well as US 5,714,556 and US 5,955,555 which are also incorporated by reference herein as if fully set forth.

Other useful types of catalysts may also be used for the second active polymerization catalyst. For instance so-called Ziegler-Natta and/or metallocene-type catalysts may also be used. These types of catalysts are well known in the polyolefin field, see for instance *Angew. Chem., Int. Ed. Engl.*, vol. 34, p. 1143-1170 (1995), EP-A-0416815 and US 5,198,401 for information about metallocene-type catalysts, and J. Boor Jr., Ziegler-Natta Catalysts and Polymerizations, Academic Press, New York, 1979 for information about Ziegler-Natta-type catalysts, all of which are hereby included by reference. Many of the useful polymerization conditions for all of these types of catalysts and the first active polymerization catalysts coincide, so conditions for the polymerizations with first and second active polymerization catalysts are easily accessible. Oftentimes the "co-catalyst" or "activator" is needed for metallocene or Ziegler-Natta-type polymerizations. In many instances the same compound, such as an alkylaluminum compound, may be used as an "activator" for some or all of these various polymerization catalysts.

In one preferred process described herein the first olefin(s) (olefin(s) polymerized by the first active polymerization catalyst) and

second olefin(s) (the monomer(s) polymerized by the second active polymerization catalyst) are identical. The second olefin may also be a single olefin or a mixture of olefins to make a copolymer.

5 In some processes herein the first active polymerization catalyst polymerizes one or olefins, a monomer that may not be polymerized by said second active polymerization catalyst, and/or vice versa. In that instance two chemically distinct polymers may be produced. In another scenario two monomers would be present, with one polymerization catalyst producing a copolymer, and the other polymerization catalyst  
10 producing a homopolymer.

Likewise, conditions for such polymerizations, using catalysts of the second active polymerization type, will also be found in the appropriate above mentioned references.

Two chemically different active polymerization catalysts are used in  
15 this polymerization process. The first active polymerization catalyst is described in detail above. The second active polymerization catalyst may also meet the limitations of the first active polymerization catalyst, but must be chemically distinct. For instance, it may utilize a different ligand which differs in structure between the first and second active polymerization  
20 catalysts. In one preferred process, the ligand type and the metal are the same, but the ligands differ in their substituents.

Included within the definition of two active polymerization catalysts are systems in which a single polymerization catalyst is added together with another ligand, preferably the same type of ligand, which can displace  
25 the original ligand coordinated to the metal of the original active polymerization catalyst, to produce in situ two different polymerization catalysts.

The molar ratio of the first active polymerization catalyst to the second active polymerization catalyst used will depend on the ratio of  
30 polymer from each catalyst desired, and the relative rate of polymerization of each catalyst under the process conditions. For instance, if one wanted to prepare a "toughened" thermoplastic polyethylene that contained 80% crystalline polyethylene and 20% rubbery polyethylene, and the rates of polymerization of the two catalysts were equal, then one would use a 4:1  
35 molar ratio of the catalyst that gave crystalline polyethylene to the catalyst that gave rubbery polyethylene. More than two active polymerization catalysts may also be used if the desired product is to contain more than two different types of polymer.

The polymers made by the first active polymerization catalyst and the second active polymerization catalyst may be made in sequence, i.e., a polymerization with one (either first or second) of the catalysts followed by a polymerization with the other catalyst, as by using two polymerization vessels in series. However it is preferred to carry out the polymerization using the first and second active polymerization catalysts in the same vessel(s), i.e., simultaneously. This is possible because in most instances the first and second active polymerization catalysts are compatible with each other, and they produce their distinctive polymers in the other catalyst's presence. Any of the processes applicable to the individual catalysts may be used in this polymerization process with 2 or more catalysts, i.e., gas phase, liquid phase, continuous, etc.

The polymers produced by this process may vary in molecular weight and/or molecular weight distribution and/or melting point and/or level of crystallinity, and/or glass transition temperature and/or other factors. The polymers produced are useful as molding and extrusion resins and in films as for packaging. They may have advantages such as improved melt processing, toughness and improved low temperature properties.

Catalyst components which include transition metal complexes of (I), with or without other materials such as one or more cocatalysts and/or other polymerization catalysts are also disclosed herein. For example, such a catalyst component could include the transition metal complex supported on a support such as alumina, silica, a polymer, magnesium chloride, sodium chloride, etc., with or without other components being present. It may simply be a solution of the transition metal complex, or a slurry of the transition metal complex in a liquid, with or without a support being present.

Hydrogen or other chain transfer agents such as silanes (for example trimethylsilane or triethylsilane) may be used to lower the molecular weight of polyolefin produced in the polymerization process herein. It is preferred that the amount of hydrogen present be about 0.01 to about 50 mole percent of the olefin present, preferably about 1 to about 20 mole percent. The relative concentrations of a gaseous olefin such as ethylene and hydrogen may be regulated by varying their partial pressures.

In the second polymerization process herein, a transition metal complex of Groups 6 to 11, preferably Groups 8-11, more preferably Ni or



Pd, and especially preferably Ni, is used. The transition metal is complexed to an "active ligand", and this ligand is bi- or higher (tri-, tetra, etc.) dentate. The ligand may be neutral (have no charge) or anionic (have one or more negative charges). Bidentate ligands are preferred.

5 Besides having this denticity, the active ligand has certain properties, measured by a specific test, that classify it as an active ligand. The ligand may be active with one transition metal but not with another. The complex for any given ligand with each transition metal should be separately tested (see below).

10 When most such transition metal complexes are used as olefin polymerization catalyst (components), they are usually used in conjunction with other catalyst components, such as alkylating agents, and/or Lewis acids, and/or others. It has been found that these transition metal complexes, when having at least one  $\pi$ -allyl also coordinated to the  
15 transition metal, will initiate the polymerization of ethylene, and/or copolymerization of ethylene and ethyl-10-undecylenate, under specified conditions (see below) in the absence of any other cocatalysts. This in a sense makes them especially active in olefin polymerizations, especially polymerizations in which a polar monomer is used (and copolymerized)  
20 with a hydrocarbon olefin, especially ethylene.

Generally speaking, these ligands have at least two different types of groups which coordinate to the transition metal, for example two different heteroatom groups such as (in a bidentate ligand) N and O, or N and P, or P and O, etc. In some instances, both the heteroatoms and the  
25 groups of which they are a part may be the same. In some instances, the heteroatoms may be same, but the groups of which they are a part are different, for example for nitrogen they may be amino or imino, for oxygen they may be keto or hydroxy, etc. Many of these ligands happen to be so-called "hemilabile" or "hybrid" ligands, although the fact that a ligand is  
30 hemilabile or hybrid does not guarantee it will be an active ligand, and vice versa. Hemilabile and hybrid ligands are known in the art, see for instance: J. C. Jeffrey et al., *Inorg. Chem.*, vol. 18, p. 2658 (1979); L. P. Barthel-Rosa, et al., *Inorg. Chem.*, vol. 37, p. 633 (1998); S. Mecking, et al., *Organometallics* vol. 15, p. 2650 (1996); A. M. Allgeier, et al.,  
35 *Organometallics*, vol. 13, p. 2928 (1994); M. Nandi, M., et al., *J. Am. Chem. Soc.*, vol. 121, p. 9899 (1999); P. Braunstein, et al., *Organometallics*, vol. 15, p. 5551 (1996); A. Bader, et al., *Coord. Chem. Rev.*, vol. 108, p. 27-100 (1991); C. Slone, et al., In Progress in Inorganic

Chemistry, K. D. Karlin, Ed.; John Wiley & Sons, New York (1999), p. 233-350, all of which are hereby included by reference. Although none of these guides is absolute, they do suggest to the artisan what ligands may be active ligands. Making the transition metal complex and testing it by the simple method described below allows a determination whether the ligand is an active ligand.

When using active ligand complexes to form polar copolymers, preferred hydrocarbon olefins are ethylene and  $\text{H}_2\text{C}=\text{CHR}^{26}$ , wherein  $\text{R}^{26}$  is hydrogen, alkyl or substituted alkyl, preferably hydrogen or n-alkyl, and ethylene is especially preferred. A preferred polar olefin is  $\text{H}_2\text{C}=\text{CHR}^{27}\text{CO}_2\text{R}^{28}$ , particularly wherein  $\text{R}^{27}$  is alkylene or a covalent bond, more preferably n-alkylene or a covalent bond, and especially preferably a covalent bond, and  $\text{R}^{28}$  is hydrocarbyl, substituted hydrocarbyl, or a metal, or any easily derivable functionality such as amide or nitrile, and more preferably  $\text{R}^{28}$  is hydrocarbyl and substituted hydrocarbyl. Another type of preferred polar olefin is a vinyl olefin wherein the polar group is attached directly to a vinylic carbon atom, for example when  $\text{R}^{27}$  is a covalent bond. CO may also be used as a polar olefin; however, when CO is present it is preferred that at least one other polar olefin is also present.

In the second process, especially when ethylene is the hydrocarbon olefin, it is preferred that the polymerization process be run at a temperature of about  $50^\circ\text{C}$ , more preferably  $60^\circ\text{C}$  to about  $170^\circ\text{C}$ , and an ethylene partial pressure of at least about 700 kPa. More preferably the temperature range is about  $80^\circ\text{C}$  to about  $140^\circ\text{C}$  and/or a lower ethylene pressure is about 5.0 MPa or more, and/or a preferred upper limit on ethylene pressure is about 200 MPa, especially preferably about 20 MPa. The polymerizations may otherwise be carried out in the "normal" manner for such ligands (including the presence of Lewis acids, which are not present in part of the test to determine whether a ligand is an active ligand

Polymerization without added Lewis acids is described herein in Examples 39-45, 54-58, 70, 73, 91 and 192. Examples of ligands with excellent potential for being active ligands are listed in previously incorporated S. Ittel, et al., *Chem. Rev.*, vol. 100, p. 1177-1179 and are (Reference Numbers from their Table 2 given): 116 E-33; 116 E-32; 116 E-15; 116 E-57; 116 E-51; 116 E-60; 116 E-185; 116 E-23; 116 E-89; 116 E-29; 116 E-27; 116 E-61; 116 E-43; 116 E-49; 116 E-39; 116 E-56; 116 E-36; 116 E-95; 116 E-3; 116 E-184; 116 E-141; 116 E-144; 116 E-53;

116 E-105; 116 E-106; 116 E-37; 116 E-46; 116 E-44; 139; 116 E-10; 116 E-162; 116 E-16; 116 E-48; 116 E-30; 116 E-47; 116 E-55; 116 E-24; 116 E-54; 140; 136; 116 E-34; and 116 E-160. From Table 8, p. 1195, 416.

Under certain circumstances  $\alpha$ -diimines are not preferred neutral active ligands, and/or salicylaldimines are not preferred monoanionic active ligands.

Herein, a ligand is termed an "active ligand" if it meets one or both of the following two tests:

Test 1: The yield of polyethylene obtained under condition 1-1 is greater than or equal to one half of the maximum yield of polyethylene obtained under conditions 1-2 and 1-3.

Conditions 1-1: Heat a clean 600 mL Parr® reactor under vacuum, and then allow it to cool under nitrogen. Next, heat the reactor to 80°C. In a nitrogen-filled drybox, weigh out 0.0085 mmole of the neutral nickel(II) allyl complex  $[(L^{\wedge}L')Ni(C_3H_5)]$ , the cationic nickel(II) allyl complex  $[(L^{\wedge}L')Ni(C_3H_5)]^+[B(3,5-(CF_3)_2C_6H_3)_4]^-$ , or the cationic nickel(II) allyl complex  $[(L^{\wedge}L')Ni(C_3H_5)]^+[B(C_6F_5)_4]^-$  and dissolve it in 60 mL of chlorobenzene and then place the solution in a 150 mL addition cylinder. Seal the cylinder and bring it out of the drybox and attach it to the Parr® reactor. Utilize 2.1 MPa of nitrogen to force the solution in the addition cylinder into the 80°C reactor. Quickly vent the nitrogen and fill the reactor with ethylene to 6.9 MPa. Stir the reaction mixture at 600 rpm while adjusting the temperature of the reaction mixture to 100°C. Maintain the temperature at 100°C and the pressure at 6.9 MPa while continuing to stir for a total of 1 h. Remove the heat source and vent the ethylene. Back-fill with 0.7 MPa nitrogen and vent the nitrogen after brief stirring. Repeat this two more times. Pour the room temperature mixture into 500 mL methanol, filter, and wash with methanol. Blend the resulting polymer with methanol, filter, and wash with methanol. Repeat this blending/washing procedure two more times, and dry the polymer *in vacuo* overnight.

Condition 1-2: Repeat the procedure of Condition 1-1, except include 10 equiv of BPh<sub>3</sub> in the addition funnel.

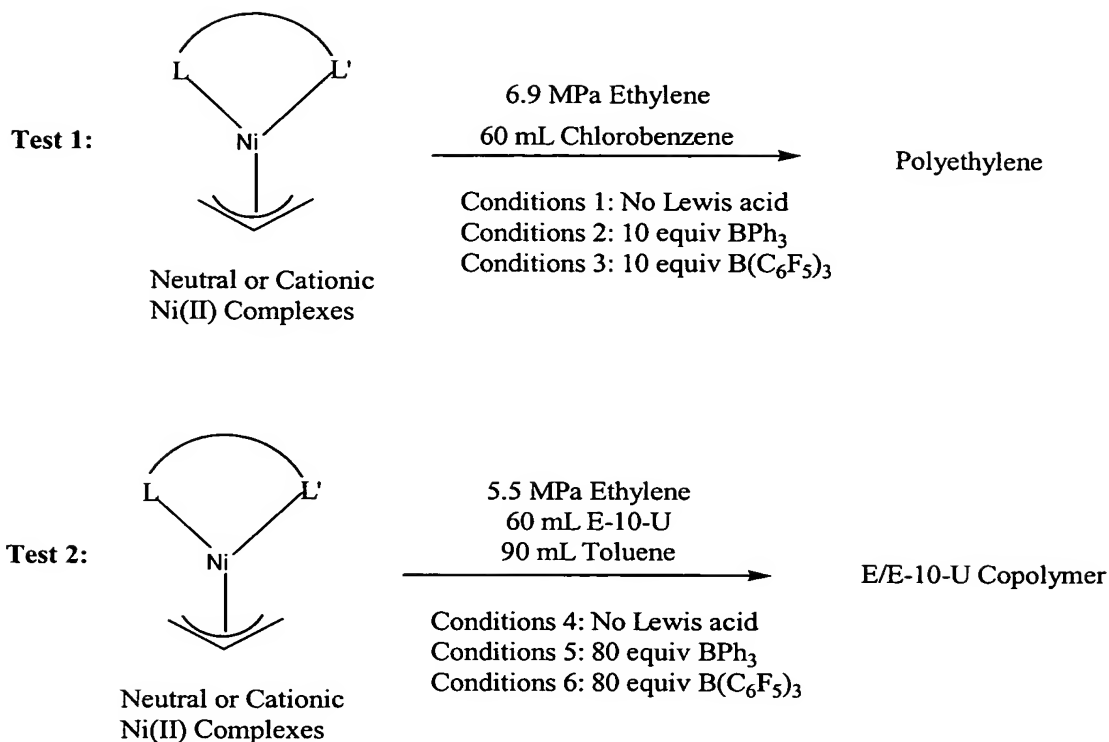
Condition 1-3: Repeat the procedure of Condition 1-1, except include 10 equiv of B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub> in the addition funnel.

Test 2: The yield of E/E-10-U copolymer obtained under Condition 1-4 is greater than or equal to one third of the maximum yield of polyethylene obtained under Conditions 1-5 and 1-6.

Condition 1-4: Heat a clean 600 mL Parr® reactor under vacuum, and then allow it to cool under nitrogen. In a nitrogen-filled drybox, weigh out 0.0094 mmole of the neutral nickel(II) allyl complex  $[(L^{\wedge}L')Ni(C_3H_5)]$ , the cationic nickel(II) allyl complex  $[(L^{\wedge}L')Ni(C_3H_5)]^+[B(3,5-(CF_3)_2C_6H_3)_4]^-$ ,  
5 or the cationic nickel(II) allyl complex  $[(L^{\wedge}L')Ni(C_3H_5)]^+[B(C_6F_5)_4]^-$  and dissolve it in 90 mL of toluene and 60 mL of E-10-U in a 300 mL RB flask. Seal the flask with a rubber septum and bring it out of the drybox and transfer the solution into the autoclave via a cannula under positive nitrogen pressure. Seal the autoclave and pressure it to 0.7 MPa nitrogen.  
10 Then release the nitrogen. Repeat this pressurizing/venting procedure two more times. Add about 0.03 MPa of nitrogen to the autoclave and then stir the reaction mixture at 600 rpm. Next, apply 4.5 MPa of ethylene pressure. Quickly place the autoclave in a preheated 100°C oil bath. Adjust the pressure of the autoclave to 5.5 MPa. Maintain the temperature  
15 at 100°C and the pressure at 5.5 MPa while continuing to stir for a total of 2 h. Remove the heat source and vent the ethylene. Back-fill with 0.7 MPa nitrogen and vent the nitrogen after brief stirring. Repeat this two more times. Pour the room temperature mixture into 500 mL methanol, filter, and wash with methanol. Blend the resulting polymer with methanol,  
20 filter, and wash with methanol. Repeat this blending/washing procedure two more times, and dry the polymer *in vacuo* overnight.

Condition 1-5: Repeat the procedure of Conditions 1-4, except include 80 equiv of BPh<sub>3</sub> in the RB flask.

Condition 1-6: Repeat the procedure of Conditions 1-4, except  
25 include 80 equiv of B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub> in the RB flask.



In the tests above for “active Ligands” L<sup>^</sup>L’ is bidentate ligand being tested, and E-10-U is ethyl 10-undecylenate. Preferred active ligands are those that meet the conditions for Test 2.

In the Examples except where noted, all pressures are gauge pressures. In the Examples, the following abbreviations are used:

- Am - amyl
- Ar - aryl
- BAF - B(3,5-C<sub>6</sub>H<sub>3</sub>-(CF<sub>3</sub>)<sub>2</sub>)<sub>4</sub><sup>-</sup>
- BArF - B(C<sub>6</sub>F<sub>5</sub>)<sub>4</sub><sup>-</sup>
- BHT - 2,6-di-t-butyl-4-methylphenol
- BQ - 1,4-benzoquinone
- Bu - butyl
- Bu<sub>2</sub>O - dibutyl ether
- CB - chlorobenzene
- Cmpd - compound
- Cy - cyclohexyl
- DSC - Differential Scanning Calorimetry
- E – ethylene
- E-10-U - ethyl-10-undecylenate

EG - end-group, refers to the ester group of the acrylate being located in an unsaturated end group of the ethylene copolymer

EGPEA - 2-phenoxyethyl acrylate

Eoc - end-of-chain

5      Equiv - equivalent

Et - ethyl

Et<sub>2</sub>O - diethyl ether

GPC - gel permeation chromatography

HA – hexyl acrylate

10      Hex - hexyl

IC - in-chain, refers to the ester group of the acrylate being bound to the main-chain of the ethylene copolymer

Incorp - incorporation

i-Pr - isopropyl

15      LA - Lewis acid

LDA - lithium N,N-diethylamide

M.W. - molecular weight

MA - methyl acrylate

Me - methyl

20      MeOH - methanol

MI - melt index

Mn - number average molecular weight

Mp – peak molecular weight (by GPC)

Mw - weight average molecular weight

25      Nd - not determined

PDI - Mw/Mn

PE - polyethylene

Ph - phenyl

30      PMAO-IP - poly(methylaluminoxane) available from Akzo-Nobel, Inc.

PPA - 2,2,3,3,3-pentafluoropropyl acrylate

Press - pressure

RB - round-bottomed

RI - refractive index

35      RT or Rt - room temperature

t-Bu - t-butyl

TCB - 1,2,4-trichlorobenzene

Temp: Temperature

THA - 3,5,5-trimethylhexyl acrylate

THF - tetrahydrofuran

TLC - thin layer chromatography

TON - turnovers, moles of olefinic compound polymerized/mole of  
5 transition metal compound

Total Me - total number of methyl groups per 1000 methylene  
groups as determined by  $^1\text{H}$  or  $^{13}\text{C}$  NMR analysis

UV - ultraviolet

All the operations related to the catalyst (transition metal  
10 compound) synthesis were performed in a nitrogen drybox or using a  
Schlenk line with nitrogen protection. Anhydrous solvents were used.  
Solvents were distilled from drying agents under nitrogen using standard  
procedures: tetrahydrofuran (THF), from sodium benzophenone ketyl.  
 $\text{Ni(II)}$  allyl chloride (or bromide) was prepared according to the literature.

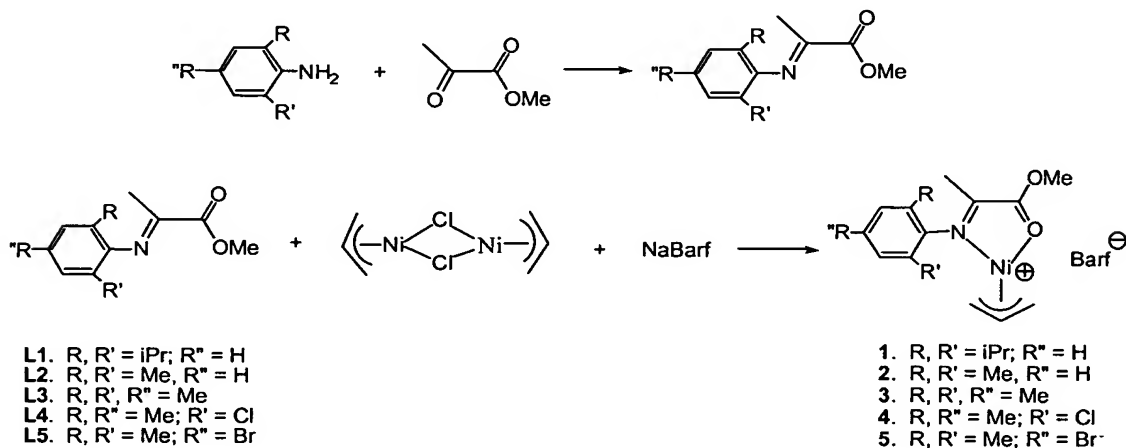
15  $(t\text{-Butyl})_2\text{PCH}_2\text{Li}$  was synthesized by reacting  $(t\text{-butyl})_2\text{PCH}_3$  with  $t$ -  
butyl lithium in heptane in a  $109^\circ\text{C}$  bath for 4 d. The product was filtered  
and washed with pentane.  $(t\text{-Butyl})_2\text{PLi}$  was synthesized by reacting  
 $(t\text{-butyl})_2\text{PH}$  with  $n$ -butyl lithium in heptane at  $90^\circ\text{C}$  for 6 h.

The  $^1\text{H}$ ,  $^{13}\text{C}$  and  $^{31}\text{P}$  NMR spectra were recorded using a Bruker  
20 500 MHz spectrometer.

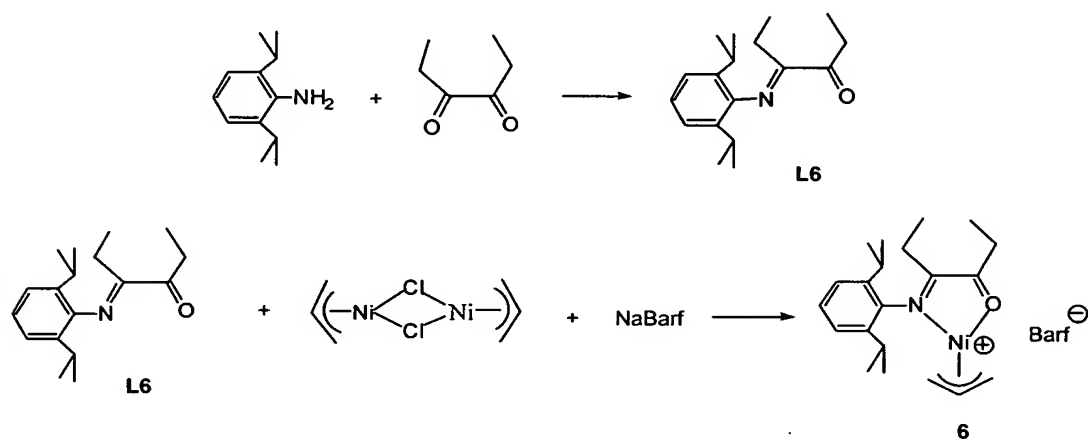
Total methyls per 1000  $\text{CH}_2$  are measured using different NMR  
resonances in  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectra. Because of accidental overlaps  
of peaks and different methods of correcting the calculations, the values  
measured by  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectroscopy will not be exactly the same,  
25 but they will be close, normally within 10-20% at low levels of acrylate  
comonomer. In  $^{13}\text{C}$  NMR spectra, the total methyls per 1000  $\text{CH}_2$  are the  
sums of the  $1\text{B}_1$ ,  $1\text{B}_2$ ,  $1\text{B}_3$ , and  $1\text{B}_{4+}$ , EOC resonances per 1000  $\text{CH}_2$ ,  
where the  $\text{CH}_2$ 's do not include the  $\text{CH}_2$ 's in the alcohol portions of the  
ester group. The total methyls measured by  $^{13}\text{C}$  NMR spectroscopy do  
30 not include the minor amounts of methyls from the methyl vinyl ends nor  
the methyls in the alcohol portion of the ester group. In  $^1\text{H}$  NMR spectra,  
the total methyls are measured from the integration of the resonances  
from 0.6 to 1.08 ppm and the  $\text{CH}_2$ 's are determined from the integral of the  
region from 1.08 to 2.49 ppm. It is assumed that there is 1 methine for  
35 every methyl group, and 1/3 of the methyl integral is subtracted from the  
methylene integral to remove the methine contribution. The methyl and  
methylene integrals are also usually corrected to exclude the values of the  
methyls and methylenes in the alcohol portion of the ester group, if this is

practical. Because of the low levels of incorporation, this is usually a minor correction. Corrections are also made to exclude any contributions from acrylate homopolymer to the methyl or methylene integrals in both the  $^{13}\text{C}$  and  $^1\text{H}$  spectra where this is warranted.

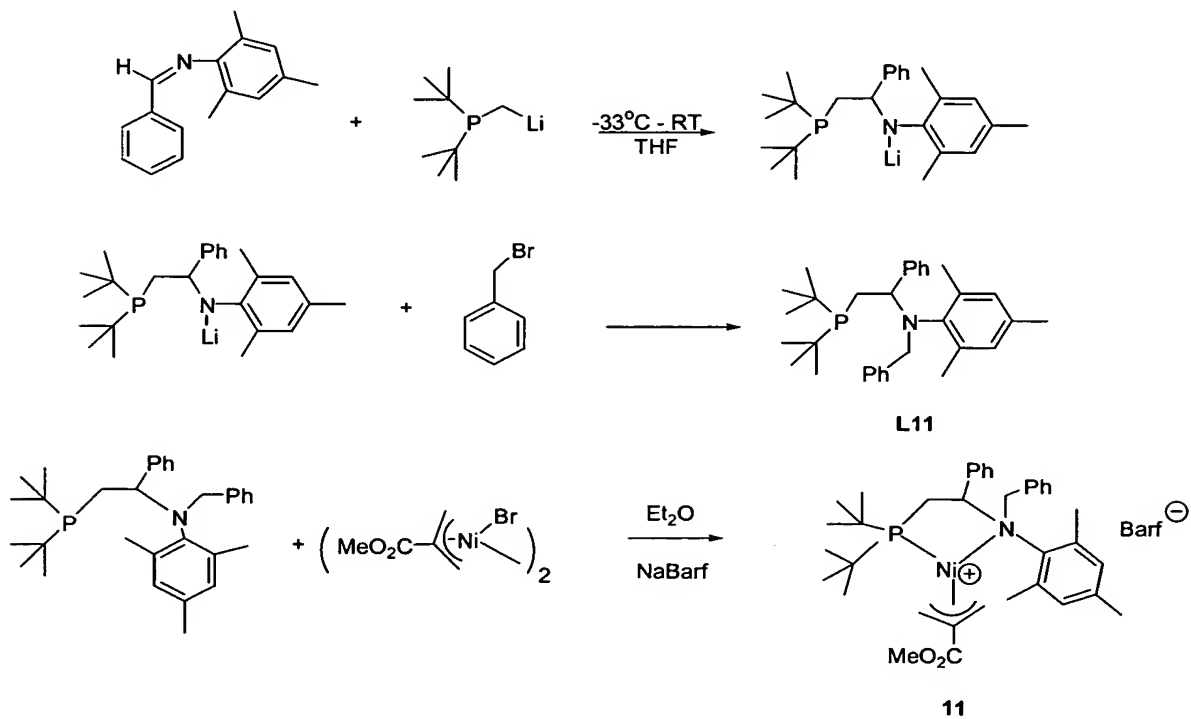
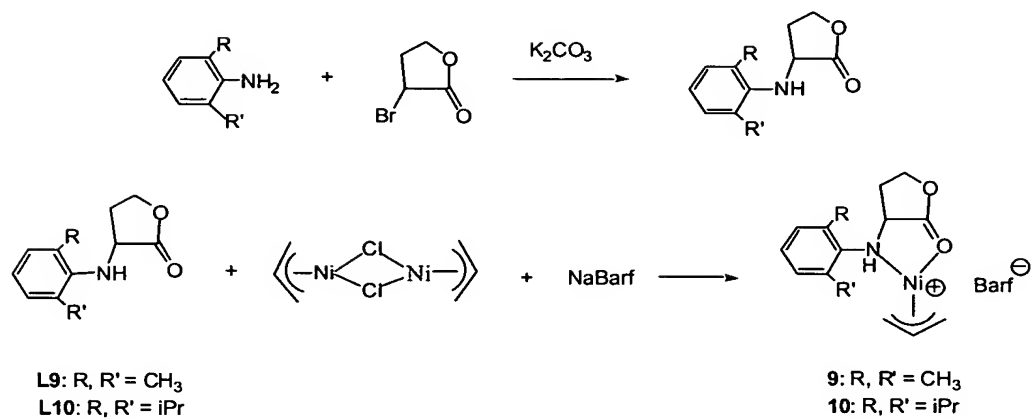
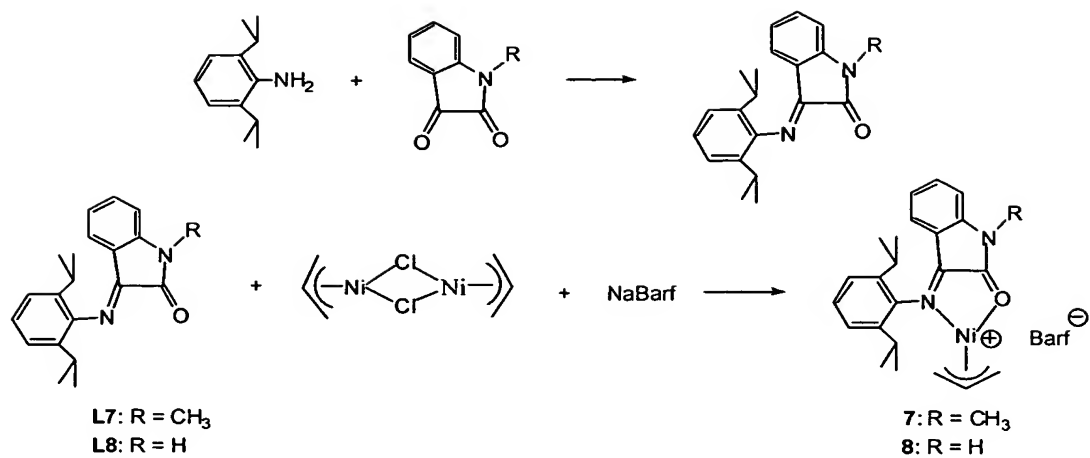
5 In the Examples the following ligands and transition metal compounds are made by the general indicated methods. Ligand and transition metal compound numbers are shown in the various synthetic equations.

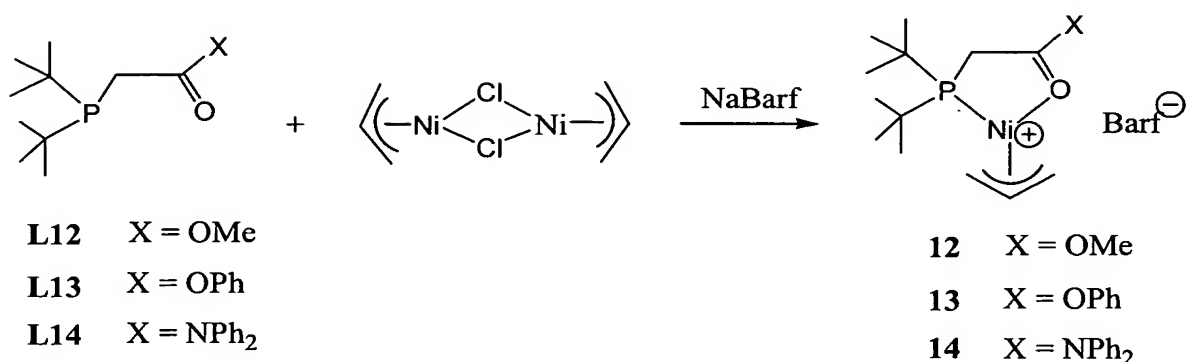
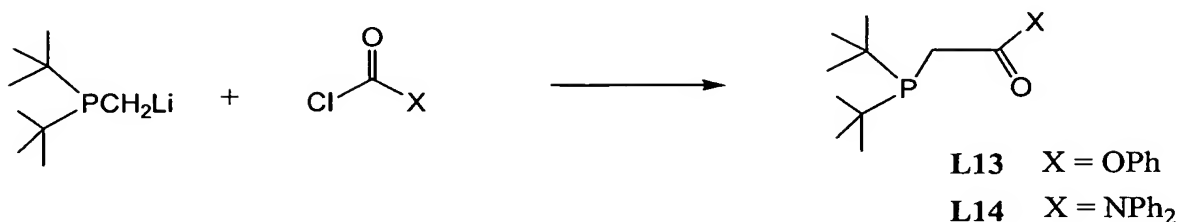


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### Example 1 - Synthesis of Ligand **L1**

In a 300 mL RB flask, 5.672 g (0.05 mole) methyl pyruvate and 9.849 g (0.05 mole) 2,6-diisopropylaniline were mixed with 150 mL toluene and 30 mg (catalytic amount) of p-toluenesulfonic acid monohydrate. A Dean-Stark trap and a reflux condenser were attached to the flask. The yellow solution was refluxed for 4.5 h. Solvent was removed under vacuum. The resulting oil was dissolved in 100 mL hexanes and the solution was cooled at -40°C overnight. The viscous oil was filtered cold using a cold, coarse filter and was washed with 4x15 mL cold hexanes. The yellow oil was dried *in vacuo* for 2 h. It weighed 0.619 g. The filtrate was evaporated. The resulting oil was dissolved in 100 mL hexanes. The solution was cooled at -40°C overnight. Hexanes were decanted. The sample was dried *in vacuo* for 2 h. The weight of the yellow oil was 4.172 g (37% overall yield). <sup>1</sup>HNMR (in CD<sub>2</sub>Cl<sub>2</sub>): δ 7.07 – 7.18 (m, 3H, Ar-H); 3.92 (s, 3H, -OCH<sub>3</sub>); 2.63 (heptet, 2H, -CH(CH<sub>3</sub>)<sub>2</sub>); 1.93 (s, 3H, N=C-CH<sub>3</sub>); 1.11-1.15 (dd, 12H, (CH<sub>3</sub>)<sub>2</sub>CH-).

### Example 2 - Synthesis of Catalyst 1

In a drybox, 0.586 g (2.241 mmol) ligand **L1**, 0.303 g (1.120 mmol) nickel allyl chloride dimer, 1.986 g (2.241 mmol) sodium tetrakis(3,5-trifluoromethylphenyl)borate and 25 mL diethyl ether were mixed in a 100 mL RB flask. The resulting burgundy mixture was stirred at RT for 2 h. The mixture was then filtered through Celite®, followed by 3x10 mL ether wash. The filtrate was evaporated under vacuum. The residue was dissolved in 3 mL dichloromethane, followed by addition of 30 mL pentane. Solvent was decanted. The procedure was repeated two more times by using 15 mL pentane. The resulting residue was dried under full vacuum. The brown solid was triturated with 20 mL pentane, filtered and washed with 3x10 mL pentane. The product was dried *in vacuo* for 2 h. Final weight of the brown solid was 2.475 g (90%). <sup>1</sup>HNMR (in CD<sub>2</sub>Cl<sub>2</sub>): δ 7.72 (s, 8H, Barf-*H*); 7.57 (s, 4H, Barf-*H*); 7.26 – 7.41 (m, 3H, Ar-*H*); 5.90 (heptet, 1H, central allyl-*H*); 4.25 (s, 3H, -OCH<sub>3</sub>); 2.34, 2.81, 3.10, 3.97 (bs, 1H each, terminal allyl-*H*); 2.66 (heptet, 2H, -CH(CH<sub>3</sub>)<sub>2</sub>); 2.04 (s, 3H, N=C-CH<sub>3</sub>); 1.04-1.43 (bs, 12H, (CH<sub>3</sub>)<sub>2</sub>CH-).

### Example 3 - Synthesis of Ligand L2

In a 300 mL RB flask, 5.672 g (0.05 mol) methyl pyruvate and 6.061 g (0.05 mol) 2,6-dimethylaniline were mixed with 150 mL toluene and 50 mg p-toluenesulfonic acid monohydrate. A Dean-Stark trap and a reflux condenser were attached to the flask. The amber solution was refluxed for 4 h, at which time ca. 0.9 mL H<sub>2</sub>O had been collected. The solution was refluxed for an additional 3 h. Solvent was removed under vacuum. Amber oil was obtained. The oil was dissolved 100 mL hexanes and the solution was cooled at -40°C overnight. Solvent was decanted. The dark red oil was washed with 3x25 mL cold hexanes. The product was dried *in vacuo* for 2 h. Final weight of the dark red oil was 3.532 g (34%). <sup>1</sup>HNMR (in CD<sub>2</sub>Cl<sub>2</sub>): δ 6.90 – 7.10 (m, 3H, Ar-*H*); 3.91 (s, 3H, -OCH<sub>3</sub>); 1.97 [s, 6H, -C<sub>6</sub>H<sub>3</sub>(CH<sub>3</sub>)<sub>2</sub>]; 1.89 (s, 3H, N=C-CH<sub>3</sub>).

### Example 4 - Synthesis of Catalyst 2

In a drybox, 0.500 g (2.436 mmol) ligand **L2**, 0.329 g (1.218 mmol) nickel allyl chloride dimer, 2.159 g (2.436 mmol) sodium tetrakis(3,5-trifluoromethylphenyl)borate and 25 mL diethyl ether were mixed in a 100 mL RB flask. The mixture was stirred at RT for 2 h. It was then filtered through Celite®, followed by 3x10 mL ether wash. The filtrate was evaporated under vacuum. The resulting brown solid was triturated with 20 mL pentane. The solid was filtered and washed with 3x10 mL pentane.

The brown solid was dried *in vacuo* for 2 h. Final weight of the brown solid was 2.300 g (81%). <sup>1</sup>HNMR (in CD<sub>2</sub>Cl<sub>2</sub>): δ 7.73 (s, 8H, Barf-*H*); 7.57 (s, 4H, Barf-*H*); 6.90 – 7.30 (m, 3H, Ar-*H*); 5.91 (heptet, 1H, central allyl-*H*); 4.24 (s, 3H, -OCH<sub>3</sub>); 1.21, 2.55, 3.60 (bs, total 4H, terminal allyl-*H*); 2.21 [bs, 6H, -C<sub>6</sub>H<sub>3</sub>(CH<sub>3</sub>)<sub>2</sub>]; 1.99 (s, 3H, N=C-CH<sub>3</sub>).

#### Example 5 - Synthesis of Ligand L3

In a 300 mL RB flask, 5.672 g (0.05 mol) methyl pyruvate and 6.761 g (0.05 mol) 2,4,6-trimethylaniline were mixed with 150 mL toluene and 50 mg p-toluenesulfonic acid monohydrate. A Dean-Stark trap and a reflux condenser were attached to the flask. The amber solution was refluxed for 4 h, at which time ca. 0.9 mL H<sub>2</sub>O had been collected. The solution was refluxed for an additional 3 h. Solvent was removed with a rotary evaporator. The amber oil was dissolved in 100 mL hexanes. The solution was cooled at -40°C overnight. The hexanes layer was decanted. The dark red oil was washed with 3x25 mL cold hexanes. The product was dried *in vacuo* for 2 h. Final weight of the dark red oil was 5.574 g (51%). <sup>1</sup>HNMR (in CD<sub>2</sub>Cl<sub>2</sub>): δ 6.87 (s, 2H, Ar-*H*); 3.90 (s, 3H, -OCH<sub>3</sub>); 1.97 [s, 6H, ortho-C<sub>6</sub>H<sub>2</sub>(CH<sub>3</sub>)<sub>3</sub>]; 2.26, 1.88 [s, 3H each, N=C-CH<sub>3</sub> and para-C<sub>6</sub>H<sub>2</sub>(CH<sub>3</sub>)<sub>3</sub>].

#### Example 6 - Synthesis of Catalyst 3

In a drybox, 0.500 g (2.28 mmol) ligand L3, 0.308 g (1.14 mmol) nickel allyl chloride dimer, 2.021 g (2.28 mmol) sodium tetrakis(3,5-trifluoromethylphenyl)borate and 25 mL diethyl ether were mixed in a 100 mL RB flask. The mixture was allowed to stir at RT for 2 h. The mixture was then filtered through Celite®, followed by 3x10 mL ether wash. The filtrate was evaporated under vacuum. The resulting brown solid was triturated with 20 mL pentane. The solid was filtered and washed with 3x10 mL pentane. It was dried *in vacuo* for 2 h. Final weight of the brown solid was 0.830 g (31%). <sup>1</sup>HNMR (in CD<sub>2</sub>Cl<sub>2</sub>): δ 7.72 (s, 8H, Barf-*H*); 7.56 (s, 4H, Barf-*H*); 6.99 (s, 2H, Ar-*H*); 5.91 (heptet, 1H, central allyl-*H*); 4.24 (s, 3H, -OCH<sub>3</sub>); 1.17, 2.25, 2.55, 3.48 (bs, total 4H, terminal allyl-*H*); 2.17 [bs, 6H, C<sub>6</sub>H<sub>2</sub>(CH<sub>3</sub>)<sub>3</sub>]; 1.98, 2.30 [s, 3H each, N=C-CH<sub>3</sub> and para-C<sub>6</sub>H<sub>2</sub>(CH<sub>3</sub>)<sub>3</sub>].

#### Example 7 - Synthesis of Ligand L4

In a 300 mL RB flask, 5.672 g (0.0482 mol) methyl pyruvate and 7.5 g (0.0482 mol) 2-chloro-4,6-dimethylaniline were mixed with 150 mL toluene and 50 mg p-toluenesulfonic acid monohydrate. A Dean-Stark trap and a reflux condenser were attached to the flask. The mixture was

refluxed for 6 h. Solvent was removed under vacuum. The residue was dissolved in 100 mL hexanes and the mixture was cooled at  $-40^{\circ}\text{C}$  overnight. The hexanes layer was decanted. The residue was washed with 3x25 mL cold hexanes. It was then dried *in vacuo* for 2 h. Final weight of the brown-yellow solid/liquid mixture was 8.880 g (77%).

#### Example 8 - Synthesis of Catalyst 4

In a drybox, 1.000 g ligand **L4**, 0.282 g nickel allyl chloride dimer, 1.849 g sodium tetrakis(3,5-trifluoromethylphenyl)borate and 25 mL diethyl ether were mixed in a 100 mL RB flask. The mixture was allowed to stir at RT for 2 h. The mixture was then filtered through Celite®, followed by 3x10 mL ether wash. The filtrate was evaporated under vacuum. The resulting brown solid was triturated with 20 mL pentane. The solid was filtered and washed with 3x10 mL pentane. It was dried *in vacuo* for 2 h. Final weight of the brown solid was 2.707 g.

#### Example 9 - Synthesis of Ligand L5

In a 300 mL RB flask, 5.469 g (0.05 mol) methyl pyruvate and 10.005 g (0.05 mol) 4-bromo-2,6-dimethylaniline were mixed with 150 mL toluene and 50 mg p-toluenesulfonic acid monohydrate. A Dean-Stark trap and a reflux condenser were attached to the flask. The solution was refluxed for 8 h. Solvent was removed under vacuum. The residue was dissolved in 100 mL hexanes and the solution was cooled at  $-40^{\circ}\text{C}$  overnight. Solvent was decanted. The residue was washed with 3x25 mL cold hexanes. The product was dried *in vacuo* for 2 h. Final weight of the dark red-brown solid/liquid mixture was 8.611 g (61%).

#### Example 10 - Synthesis of Catalyst 5

In a drybox, 0.500 g (1.76 mmol) ligand **L5**, 0.238 g (0.88 mmol) nickel allyl chloride dimer, 1.560 g (1.76 mmol) sodium tetrakis(3,5-trifluoromethylphenyl)borate and 25 mL diethyl ether were mixed in a 100 mL RB flask. The mixture was allowed to stir at RT for 2 h. It was then concentrated to ca. 5 mL and was added 25 mL pentane. Solvent was decanted after brief stirring. The solid was washed with 2x15 mL pentane and was dried *in vacuo*. Dark brown solid was isolated. Final weight was 1.118 g (51%).

#### Example 11 - Synthesis of Ligand L6

In a 300 mL RB flask, 11.738 g (0.103 mol) 3,4-hexanedione, 19.74 g (0.100 mol) 2,6-diisopropylaniline and 8-10 drops of formic acid were mixed with 100 mL methanol. The yellow solution was refluxed for 14 h. It was then cooled at  $-40^{\circ}\text{C}$  overnight. The yellow solid was filtered

through a cold, coarse funnel, followed by 4x15 mL cold methanol wash. It was dried *in vacuo* overnight. Final weight of the yellow solid was 14.016 g (51%). <sup>1</sup>HNMR (in CD<sub>2</sub>Cl<sub>2</sub>): δ 7.05– 7.17 (m, 3H, Ar-*H*); 2.59 (heptet, 2H, -CH(CH<sub>3</sub>)<sub>2</sub>); 2.25, 3.03 (q, 2H each, -CH<sub>2</sub>CH<sub>3</sub>); 1.18 [d, <sup>3</sup>J = 7.0Hz, 6H, -CH(CH<sub>3</sub>)<sub>2</sub>]; 1.10 [d, <sup>3</sup>J = 6.8Hz, 6H, -CH(CH<sub>3</sub>)<sub>2</sub>]; 0.90, 1.15 (t, 3H each, -CH<sub>2</sub>CH<sub>3</sub>).

#### Example 12 - Synthesis of Catalyst 6

In a drybox, 0.547 g (2 mmol) ligand **L6**, 0.270 g (1 mmol) nickel allyl chloride dimer, 1.772 g (2 mmol) sodium tetrakis(3,5-trifluoromethylphenyl)borate and 30 mL diethyl ether were mixed in a 100 mL RB flask. The dark burgundy reaction was allowed to stir at RT for 1.5 h. Solvent was evaporated. The residue was dissolved in 25 mL dichloromethane and the mixture was filtered through Celite®, followed by 4x10 mL dichloromethane wash. The filtrate was evaporated to ca. 2-3 mL and was added 30 mL pentane. Upon brief stirring, the mixture was filtered, followed by 3x10 mL pentane wash of the solid. The solid product was dried *in vacuo* for 1 h. Final weight of the brick red solid was 2.335 g (94%). <sup>1</sup>HNMR (in CD<sub>2</sub>Cl<sub>2</sub>): δ 7.72 (s, 8H, Barf-*H*); 7.56 (s, 4H, Barf-*H*); 7.28 – 7.41 (m, 3H, Ar-*H*); 5.88 (heptet, 1H, central allyl-*H*); 4.00–4.40, 2.00–3.20 [bm, 6H total, terminal allyl-*H* and -CH(CH<sub>3</sub>)<sub>2</sub>]; 3.11, 2.47 (q, 2H each, -CH<sub>2</sub>CH<sub>3</sub>); 1.21, 1.32 [d, <sup>3</sup>J = 6.6Hz, 6H each, -CH(CH<sub>3</sub>)<sub>2</sub>]; 1.15, 1.34 (t, 3H each, -CH<sub>2</sub>CH<sub>3</sub>).

#### Example 13 - Synthesis of Ligand L7

In a 100 mL RB flask, 3.223 g (20 mmol) 1-methylisatin and 3.948 g (20 mmol) 2,6-diisopropylaniline were mixed with 50 mL toluene and 50 mg p-toluenesulfonic acid monohydrate. A Dean-Stark trap and a reflux condenser were attached to the flask. The orange-red solution was refluxed for 8 h. Solvent was removed under reduced vacuum. Upon sitting at RT, orange-red crystals began to grow in the thick, oily residue. It was triturated with ca. 15 mL hexanes. The solid was filtered, followed by 3x15 mL hexanes wash. The orange solid was dried *in vacuo* overnight. Its final weight was 5.372 g (84%). <sup>1</sup>HNMR (in CD<sub>2</sub>Cl<sub>2</sub>): δ 6.20 – 7.40 (total 7H, Ar-*H*); 3.28 (s, 3H, -NCH<sub>3</sub>); 2.75 (heptet, 2H, -CH(CH<sub>3</sub>)<sub>2</sub>); 0.96, 1.15 (d, <sup>3</sup>J = 6.8Hz, 6H each, -CH(CH<sub>3</sub>)<sub>2</sub>).

#### Example 14 - Synthesis of Catalyst 7

In a drybox, 0.641 g (2 mmol) ligand **L7**, 0.270 g (1 mmol) nickel allyl chloride dimer, 1.772 g (2 mmol) sodium tetrakis(3,5-trifluoromethylphenyl)borate and 25 mL diethyl ether were mixed in a

100 mL RB flask. The dark burgundy solution was stirred at RT for 3 h. The mixture was then filtered through Celite®, followed by 3x10 mL ether wash. The filtrate was evaporated under full vacuum. Final weight of the dark brown foamy solid was 2.412 g (94%).

5 Example 15 - Synthesis of Ligand L8

In a 300 mL RB flask, 2.943 g (20 mmol) isatin and 3.948 g (20 mmol) 2,6-diisopropylaniline were mixed with 150 mL toluene and 50 mg p-toluenesulfonic acid monohydrate. A Dean-Stark trap and a reflux condenser were attached to the flask. The orange-red solution was refluxed for 8 h. Upon cooling to RT, solid was formed in the flask. It was filtered, followed by 3x25 mL hexanes wash. The product was dried *in vacuo* overnight. Final weight of the golden yellow solid was 4.778 g (78%). <sup>1</sup>HNMR (in CD<sub>2</sub>Cl<sub>2</sub>): δ 7.98 (s, 1H, -NH); 6.20 – 7.34 (total 7H, Ar-H); 2.76 (heptet, 2H, -CH(CH<sub>3</sub>)<sub>2</sub>); 0.97, 1.15 (d, <sup>3</sup>J = 6.9Hz, 6H each, -CH(CH<sub>3</sub>)<sub>2</sub>).

15 Example 16 - Synthesis of Catalyst 8

In a drybox, 0.613 g (2 mmol) ligand L8, 0.270 g (1 mmol) nickel allyl chloride dimer, 1.772 g (2 mmol) sodium tetrakis(3,5-trifluoromethylphenyl)borate and 25 mL diethyl ether were mixed in a 100 mL RB flask. The dark red solution was stirred at RT for 3 h. The mixture was then filtered through Celite®, followed by 3x10 mL ether wash. The residue was dissolved in ca. 3 mL dichloromethane, followed by addition of 30 mL pentane. Upon brief stirring, solvent was decanted. The residue was washed with 2x20 mL pentane. It was dried under full vacuum for 2 h. Final weight of the red-tinted, dark brown solid was 2.289 g (90%).

25 Example 17 - Synthesis of Ligand L9

In a 100 mL RB flask, 4.920 g (40.6 mmol) 2,6-dimethylaniline, 8.394 g (50.75 mmol) α-bromo-γ-butyrolactone, 2.582 g (24.36 mmol) sodium carbonate and 50 mL xylenes were mixed. A Dean-Stark trap and a reflux condenser were attached to the flask. The mixture was refluxed for 5 h. Water (100 mL) was used to work up the reaction. The organic layer was separated and was washed with 100 mL 5% HCl, followed by a 100 mL brine wash. The organic layer was isolated and was dried over MgSO<sub>4</sub>. The solution was filtered. Solvent was removed under reduced vacuum. The resulting oil was adhered to silica gel (50 g) and was performed a filtergraph by using 100% dichloromethane as eluent. The appropriate fraction was collected (based on TLC). Solvent was

evaporated under full vacuum. Amber oil was obtained. It crystallized upon standing at RT. Final weight of the light tan solid was 0.800 g (10%). <sup>1</sup>HNMR (in CDCl<sub>3</sub>): δ 7.04 (d, <sup>3</sup>J = 7.5 Hz, 2H, meta-Ar-H); 6.91 (t, 1H, para-Ar-H); 4.43 (vt, 1H, -NHCHCO<sub>2</sub>-); 3.98, 4.20 [m, 1H each, -CHH'OC(O)-]; 3.55 (s, 1H, -NH); 2.37 [s, 6H, -C<sub>6</sub>H<sub>3</sub>(CH<sub>3</sub>)<sub>2</sub>]; 2.25, 2.64 [m, 1H each, -CHH'CH<sub>2</sub>OC(O)-].

#### Example 18 - Synthesis of Catalyst 9

In a drybox, 0.800 g (3.898 mmol) ligand **L9**, 0.527 g (1.949 mmol) nickel allyl chloride dimer, 3.454 g (3.898 mmol) sodium tetrakis(3,5-trifluoromethylphenyl)borate and 25 mL diethyl ether were mixed in a 100 mL RB flask. The resulting deep burgundy mixture was stirred at RT for 1.5 h. The mixture was then filtered through Celite®, followed by 3x10 mL ether wash. The filtrate was evaporated under full vacuum. The residue was dissolved in ca. 3 mL dichloromethane and was added 30 mL pentane. Upon brief stirring, solvent was decanted. The residue was washed with 2x25 mL pentane. Solid precipitated out during the final pentane wash. It was filtered, followed by 3x15 mL pentane wash. The product was dried *in vacuo* for 3.5 h. Final weight of the tan-pale orange solid was 2.066 g (45%).

#### Example 19 - Synthesis of Ligand **L10**

In a 100 mL RB flask, 5.123 g (26.02 mmol) 2,6-diisopropylaniline, 5.358 g (32.525 mmol) α-bromo-γ-butyrolactone, 1.655 g (15.612 mmol) sodium carbonate and 50 mL xylenes were mixed. A Dean-Stark trap and a reflux condenser were attached to the flask. The mixture was refluxed for 12 h. Water (100 mL) was used to work up the reaction. The organic layer was separated and was washed with 100 mL 5% HCl, followed by a 100 mL brine wash. The organic layer was isolated and was dried over Na<sub>2</sub>SO<sub>4</sub>. The solution was filtered. Solvent was evaporated under reduced vacuum. The resulting oil was adhered to silica gel (50 g) and a filtergraph was performed by using 4:1 hexanes/ethyl acetate as eluent. The appropriate fraction (based on TLC) was collected. Solvent was evaporated under full vacuum. Viscous amber oil was obtained. It crystallized upon standing at RT. Final weight of the light tan solid was 0.770 g (11%). <sup>1</sup>HNMR (in CDCl<sub>3</sub>): δ 7.15 (m, 3H, Ar-H); 4.43 (vt, 1H, -NHCHCO<sub>2</sub>-); 3.80, 4.17 [m, 1H each, -CHH'OC(O)-]; 3.56 (s, 1H, -NH); 3.45 [heptet, 2H, -CH(CH<sub>3</sub>)<sub>2</sub>]; 2.31, 2.53 [m, 1H each, -CHH'CH<sub>2</sub>OC(O)-], 1.26 [dd, 12H, -CH(CH<sub>3</sub>)<sub>2</sub>].



#### Example 20 - Synthesis of Catalyst 10

In a drybox, 0.523 g (2 mmol) ligand **L10**, 0.270 g (1 mmol) nickel allyl chloride dimer, 1.772 g (2 mmol) sodium tetrakis(3,5-trifluoromethylphenyl)borate and 25 mL diethyl ether were mixed in a 100 mL RB flask. The resulting burgundy mixture was stirred at RT for 3.5 h. The mixture was then filtered through Celite®, followed by 3x10 mL ether wash. The filtrate was evaporated under full vacuum. The residue was dissolved in ca. 3 mL dichloromethane and was added 30 mL pentane. Upon brief stirring, solvent was decanted. The residue was washed with 2x25 mL pentane. Solid precipitated out (upon the scratching of the glass) during the final pentane wash. The solid was filtered and was washed with 3x15 mL pentane. It was dried *in vacuo* for 3 h. Final weight of the tan-pale orange solid was 2.412 g (98%). Isomers existed based on <sup>1</sup>HNMR in THF-d<sub>8</sub>. The overall integration of the <sup>1</sup>HNMR peaks was consistent with the proposed structure.

#### Example 21 - Synthesis of ligand L11

At -30°C, to a 100 mL round bottom flask containing 10 mL of THF solution of N-(2,4,6-trimethylphenyl)benzylimine (0.354 g, 1.59 mmol), was added dropwise a solution of (t-Bu)<sub>2</sub>PCH<sub>2</sub>Li (0.264 g, 1.59 mmol) in 10 mL of THF. The mixture was stirred for one h and it turned greenish yellow. Then the THF solution of benzyl bromide [0.272 g (1.59 mmol) of benzyl bromide in 5 mL THF] was added to the mixture and the reaction mixture was allowed to stir overnight. Solvent was removed under vacuum. The residue was extracted with pentane. After evaporating pentane, colorless crystals (0.310 g, 0.65 mmol) were collected in 41% yield. Both <sup>1</sup>H NMR and <sup>31</sup>P NMR were complicated. However, the X-ray single crystal structure was consistent with the desired product.

#### Example 22 - Synthesis of Catalyst 11

In a 100 mL RB flask, 0.134 g (0.282 mmol) Ligand **L11**, 0.067 g (0.141 mmol) allyl nickel bromide dimer and 0.250 g (0.282 mmol) sodium tetrakis(3,5-bistrifluoromethyl-phenyl)borate were mixed with 30 mL of ether and the mixture was stirred for 1 h. The solution became dark brown and it was filtered through Celite®. Solvent was removed under vacuum. The residue was washed with pentane. Dark green powder (0.360 g) was obtained. <sup>31</sup>PNMR (C<sub>6</sub>D<sub>6</sub>): one major peak at 46.48 ppm.

#### Example 23 - Synthesis of Ligand L12

In a drybox, 1.006 g (6.573 mmol) methyl bromoacetate and 40 mL THF were mixed in a 100 mL RB flask. The flask was placed in a freezer

at  $-30^{\circ}\text{C}$  for 0.5 h.  $(t\text{-Bu})_2\text{PLi}$  [1.000 g (6.573 mmol)] was added to it. The color of the reaction mixture changed rapidly from yellow to orange. The mixture was allowed to stir at RT overnight. It was then evaporated under full vacuum overnight. Pentane (30 mL) was added to the resulting crystalline residue for trituration. Solid was filtered and was washed with 2x15 mL pentane. It was dried *in vacuo* for several hours. Final weight of the pale orange solid was 1.233 g (61%).

#### Example 24 - Synthesis of Catalyst 12

In a drybox, Ligand **L12** (0.5 g, 1.64 mmol), 0.222 g (0.82 mmol) nickel allyl chloride dimer, 1.453 g (1.64 mmol) sodium tetrakis(3,5-trifluoromethylphenyl)borate and 30 mL THF were mixed in a 100 mL RB flask. The burgundy mixture was stirred at RT for 3 h. The mixture was then evaporated under full vacuum. The residue was dissolved in ca. 5 mL dichloromethane and was added ca. 50 mL pentane. The resulting yellow solid was filtered and was washed with 3x10 mL pentane. It was dried *in vacuo* for several hours. Final weight of the light yellow solid was 1.956 g.

#### Example 25 - Synthesis of Ligand L13

In a drybox, 0.471 g (3.01 mmol) phenyl chloroformate and 15 mL THF were mixed in a 100 mL RB flask. It was placed in a freezer at  $-30^{\circ}\text{C}$  for 0.5 h. At the same time, 0.5 g (3.01 mmol)  $(t\text{-Bu})_2\text{PCH}_2\text{Li}$  was dissolved in 10 mL THF. It was then slowly (dropwise) added to the above cold solution. The reaction mixture was allowed to warm up to RT and stir at this temperature for 1 h. The mixture was evaporated under full vacuum overnight. The residue was extracted with 25 mL toluene. The mixture was filtered through Celite®, followed by 3x10 mL toluene wash. The filtrate was evaporated and the solid was dried *in vacuo*. Tacky orange solid [0.501 g (59%)] was obtained.

#### Example 26 - Synthesis of Catalyst 13

In a drybox, Ligand **L13** (0.501 g, 1.786 mmol), 0.242 g (0.893 mmol) nickel allyl chloride dimer, 1.583 g (1.786 mmol) sodium tetrakis(3,5-trifluoromethylphenyl)borate and 25 mL diethyl ether were mixed in a 100 mL RB flask. The mixture was stirred at RT for 2 h. The mixture was then filtered through Celite®, followed by 3x10 mL ether wash. The filtrate was evaporated under full vacuum. The residue was dissolved in ca. 5 mL dichloromethane and was added ca. 50 mL pentane. After brief stirring, solvent was decanted. The residue was washed with

2x25 mL pentane. It was dried under full vacuum for 1 h. Final weight of the tacky dark brown solid was 1.675 g (75%).

#### Example 27 - Synthesis of Ligand L14

In a drybox, 0.697 g (3.01 mmol) diphenylcarbamyl chloride and  
5 15 mL THF were mixed in a 100 mL RB flask. It was placed in a freezer at  
–30°C for 0.5 h. At the same time, 0.5 g (3.01 mmol) (t-Bu)<sub>2</sub>PCH<sub>2</sub>Li was  
dissolved in 10 mL THF. It was then slowly (dropwise) added to the above  
cold solution. The dull orange reaction mixture was allowed to warm up to  
RT and stir at this temperature for 1 h. The mixture was evaporated to  
10 dryness. The residue was extracted with 25 mL toluene and was filtered  
through Celite®, followed by 3x10 mL toluene wash. Solvent was  
evaporated and the product was dried under full vacuum. Orange-red,  
viscous oil [0.798 g (75%)] was obtained.

#### Example 28 - Synthesis of Catalyst 14

15 In a drybox, Ligand L14 (0.798 g, 2.246 mmol), 0.304 g  
(1.123 mmol) nickel allyl chloride dimer, 1.990 g (2.246 mmol) sodium  
tetrakis(3,5-trifluoromethylphenyl)borate and 25 mL diethyl ether were  
mixed in a 100 mL RB flask. The mixture was stirred at RT for 2 h. It was  
then filtered through Celite®, followed by 3x10 mL ether wash. The filtrate  
20 was evaporated under full vacuum. The residue was dissolved in ca. 5 mL  
dichloromethane and was added ca. 50 mL pentane. Solvent was  
decanted. The residue was washed with 2x25 mL pentane. The final  
product was dried *in vacuo*. Dark brown, tacky solid [2.673 g (90%)] was  
obtained. <sup>31</sup>PNMR (in CD<sub>2</sub>Cl<sub>2</sub>): δ 70.13 (s, major peak); 45.58 (s, minor  
25 peak). There were also a few very small singlet peaks presented in the  
spectra. <sup>1</sup>HNMR of the major product (in CD<sub>2</sub>Cl<sub>2</sub>): δ 7.75 (s, 8H, Barf-*H*);  
7.58 (s, 4H, Barf-*H*); 6.70 – 7.55 (m, 10H, Ar-*H*); 5.55 (heptet, 1H, central  
allyl-*H*); 4.39 (vd, <sup>3</sup>J = 7.7Hz, 1H, terminal allyl-*H*); 3.36, 3.14 (dd, 1H  
each, terminal allyl-*H*); 2.63-2.85 (dddd, ABX pattern, X was phosphorus,  
30 2H total, -PCHH-); 1.93 (d, <sup>3</sup>J = 13.1Hz, 1H, terminal allyl-*H*); 1.40, 1.22  
(d, <sup>3</sup>J = 14.8Hz, 9H each, -P(CH<sub>3</sub>)<sub>3</sub>).

#### Examples 29-32 - Synthesis of Catalysts 15-18

##### General Procedure

35 In a drybox, 2.28 mmol MX<sub>n</sub>, 0.5 g (2.28 mmol) ligand L3 and  
15 mL THF were mixed in a 20 mL vial. The mixture was stirred at RT  
overnight. Solvent was evaporated and the product was dried *in vacuo*  
overnight.

Catalyst 15,  $\text{MX}_2 = \text{FeCl}_2$ . Final weight of the dark and tacky solid was 0.914 g.

Catalyst 16,  $\text{MX}_2 = \text{CoCl}_2$ . Final weight of the turquoise solid was 0.924 g.

5 Catalyst 17,  $\text{MX}_n = \text{TiCl}_4$ . Final weight of the dark solid was 1.499 g.

Catalyst 18,  $\text{MX}_n = \text{ZrCl}_4$ . Final weight of the dark solid was 1.551 g.

#### Examples 33-36 - Synthesis of Catalysts 19-22

##### 10 General Procedure

In a drybox, 1.56 mmol  $\text{MX}_n$ , 0.5 g (1.56 mmol) ligand L7 and 15 mL THF were mixed in a 20 mL vial. The mixture was stirred at RT overnight. Solvent was evaporated and the product was dried *in vacuo* overnight.

15 Catalyst 19,  $\text{MX}_n = \text{CoCl}_2$ . Final weight of the dark, foamy solid was 0.841 g.

Catalyst 20,  $\text{MX}_n = \text{FeCl}_2$ . Final weight of the dark, foamy solid was 0.854 g.

20 Catalyst 21,  $\text{MX}_n = \text{TiCl}_4$ . Final weight of the dark, foamy solid was 1.040 g.

Catalyst 22,  $\text{MX}_n = \text{ZrCl}_4$ . Final weight of the dark, foamy solid was 1.094 g.

#### Examples 37-92 - Polymerization of Olefinic Compounds

##### Ethylene Polymerization Screening Using the Nickel Catalysts 1 to 14

25 In a drybox, a glass insert was loaded with the isolated Ni catalysts. TCB, and optionally comonomers, were added to the glass insert. A Lewis acid cocatalyst (typically  $\text{BPh}_3$  or  $\text{B}(\text{C}_6\text{F}_5)_3$ ) was often added to the solution. The insert was then capped and sealed. Outside of the drybox, the tube was placed under ethylene and was shaken mechanically at the  
30 temperature listed in Table 1 for about 18 h. The resulting reaction mixture was mixed with methanol, filtered, repeatedly washed with methanol and the solid polymer dried *in vacuo*.

##### Ethylene polymerization screening using Catalysts 15-22, in the presence of MAO

35 In a drybox, a glass insert was loaded with 0.02 mmol of the isolated Zr or Ti catalyst and 9 mL of TCB. It was then cooled to  $-30^\circ\text{C}$ . PMAO-IP [1 mL 12.9 wt % (in Al) toluene solution] was added to the frozen solution. It was put in a  $-30^\circ\text{C}$  freezer. The insert was then capped and

sealed. Outside of the drybox, the cold tube was placed under ethylene and was shaken mechanically at the temperature listed in Table 1, condition IX, for about 18 h. Methanol (about 15 mL) and 2 mL conc. hydrochloric acid was added to the mixture. The polymer was isolated, washed with methanol several times and dried *in vacuo*.

#### Polymer Characterization

The results of ethylene polymerization and copolymerization catalyzed by various catalysts under different reaction conditions (See Table 1) are reported in Tables 2-13. The polymers were characterized by NMR, GPC and DSC analysis. A description of the methods used to analyze the amount and type of branching in polyethylene is given in previously incorporated US5880241. GPC's were run in TCB at 135°C and calibrated against polyethylene using universal calibration based on polystyrene narrow fraction standards. DSC was recorded between – 100°C to 150°C at a rate of 10°C/minute. Data reported here are all based on second heat. Melting points are taken as the peak of the melting endotherm. <sup>1</sup>HNMR of the polymer samples was run in tetrachloroethane-d<sub>2</sub> at 120°C using a 500 MHz Bruker spectrometer.

Table 1 gives general conditions for the various polymerizations. The results of these polymerizations are reported in Tables 2-13.

Table 1  
Conditions for Olefinic Polymerizations

I	0.02 mmol catalyst, 10 mL TCB, RT, 18 h, 6.9 MPa ethylene
II	0.02 mmol catalyst, 6 mL TCB, 4 mL HA or E-10-U, 100°C, 18 h, 6.9 MPa ethylene, 40 eq B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub> , 20 eq LiB(C <sub>6</sub> F <sub>5</sub> ) <sub>4</sub>
III	0.02 mmol catalyst, 10 mL TCB, RT, 18 h, 1.0 MPa ethylene, 10 eq BPh <sub>3</sub>
IV	0.02 mmol catalyst, 10 mL TCB, 60°C, 18 h, 1.0 MPa ethylene
V	0.02 mmol catalyst, 10 mL TCB, 60°C, 18 h, 1.0 MPa ethylene, 10 eq BPh <sub>3</sub>
VI	0.02 mmol catalyst, 8 mL TCB, 2 mL HA, 100°C, 18 h, 6.9 MPa ethylene, 40 eq B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub> , 20 eq LiB(C <sub>6</sub> F <sub>5</sub> ) <sub>4</sub>
VII	0.02 mmol catalyst, 6 mL TCB, 4 mL E-10-U, RT, 18 h, 6.9 MPa ethylene, 40 eq B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub>
VIII	0.02 mmol catalyst, 10 mL TCB, RT, 18 h, 6.9 MPa ethylene, 10 eq B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub>
IX	0.02 mmol catalyst, 9 mL TCB, 1 mL PMAO-IP, RT, 18 h, 6.9 MPa ethylene
X	0.00125 mmol catalyst, 6 mL TCB, 4 mL E-10-U, 100°C, 18 h, 6.9 MPa ethylene, 640 eq BPh <sub>3</sub>

**Table 2**  
**Lewis Acid effect on Ethylene Polymerization by 1,**  
**Condition I**

Ex	Cocatalyst /amt	Yield (g)	#Me/ 1000CH <sub>2</sub>	m.p.(°C) (ΔH <sub>f</sub> )	Mw/PDI	TON
37	B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub> /10eq	10.048	40	116/103 (114.0)	21,656/7.58	17,910
38	BPh <sub>3</sub> /10eq	15.95	7	132 (154.6)	104,819/2.30	28,430
39	none	7.408	9	137 (170.4)	135,105/2.24	13,204

**Table 3**  
**Ethylene polymerization Without Lewis Acid, Condition I**

Ex.	Catalyst	Yield (g)	#Me/ 1000CH <sub>2</sub>	m.p. (°C) (ΔH <sub>f</sub> )	Mw/PDI	TON
40	1	4.561	9	132 (159.1)	163,953/2.16	8,130
41	2	7.207	13	132 (203.3)	43,244/5.19	12,846
42	3	14.336	7	133 (210.6)	42,879/6.85	25,552
43	4	3.99	38	122 (157.8)	3,698/5.50	7,112
44	5	2.868	9	133 (207.9)	48,447/5.18	5,112
45	12	0.460	27	100 (208.0)	963/1.82	820

**Table 4**  
**Ethylene Copolymerization, Condition II**

Ex.	Cat.	Comonomer (Mole %)	Yield (g)	#Me/ 1000CH <sub>2</sub>	m.p. (°C) (ΔH <sub>f</sub> )	Mw/PDI	TON E/Comonomer
46	1	HA (2.3)	0.356 <sup>a</sup>	13	122 (138.6)	4,864/2.6	477/11
47	1 <sup>b</sup>	E-10-U (9.0)	20.483	32	99 (99.0)	10,137/3.6	20,871/2056
48	6	HA <sup>c</sup> (0.2)	0.403 <sup>d</sup>	3	126 (181.4)	19,918/8.0	659/6

<sup>a</sup> Contained 0.302 g copolymer and 0.054 g homopolymer of HA

<sup>b</sup> No LiB(C<sub>6</sub>F<sub>5</sub>)<sub>4</sub> was added in this case

<sup>c</sup> 1 mL HA and 9 mL TCB were used rather than 4 mL HA and 6 mL TCB

<sup>d</sup> Contained 0.380 g copolymer and 0.023 g homopolymer of HA

**Table 5**  
**Ethylene Polymerization, Condition III**

Ex.	Catalyst	Yield (g)	#Me/1000CH <sub>2</sub>	m.p. (°C) ( $\Delta H_f$ )	Mw/Mn	TON
49	1	0.929	7	122 (118.4)	207,144/75,900	1,656
50	2	3.234	15	129 (170.0)	60,021/9,387	5,764
51	3	2.600	10	128 (163.6)	74,417/9,248	4,634
52	4	5.755	95	114 (52.0)	1,786/159	10,258
53	5	1.813	19	126 (159.7)	51,793/8,656	3,231

**Table 6**  
**Ethylene Polymerization, Condition IV**

Ex.	Catalyst	Yield (g)	#Me/1000CH <sub>2</sub>	m.p. (°C) ( $\Delta H_f$ )	Mw/PDI	TON
54	1	2.715	17	120 (163.4)	75,492/3.15	4,839
55	2	1.875	46	124 (186.6)	13,949/3.43	3,342
56	3	3.780	74	119 (140.2)	7,181/5.89	6,737
57	4	4.061	82	113 (146.3)	1,499/4.15	7,238
58	5	4.387	84	118 (127.7)	5,930/4.24	7,819

**Table 7**  
**Ethylene Polymerization, Condition V**

Ex.	Catalyst	Yield (g)	#Me/1000CH <sub>2</sub>	m.p. (°C) ( $\Delta H_f$ )	Mw/Mn	TON
59	1	7.344	40	116 (129.7)	56,827/20,079	13,090
60	2	3.017	63	125 (159.2)	15,953/3,695	5,378
61	3	7.020	65	117 (83.5)	5,038/1,101	12,512
62	4	10.934	83	108 (108.2)	2,433/310	19,489
63	5	9.609	85	115 (77.8)	4,678/795	17,127

**Table 8**  
**Ethylene/HA Copolymerization, Condition VI**

Ex.	Catalyst	Yield (g) <sup>a</sup>	#Me/1000CH <sub>2</sub>	Mole % Comonomer	m.p. (°C) ( $\Delta H_f$ )	Mw/PDI
64	1	1.750	27	0.8	124 (160.1)	10,049/3.47
65	2	1.710	26	1.2	118 (176.1)	3,422/2.42
66	3	0.834	34	1.8	120 (147.9)	3,605/1,521
67	4	0.478	52	2.2	95 (132.9)	2,392/2.37
68	5	0.804	36	1.8	119 (153.5)	3,275/2.40
69	14 <sup>b</sup>	4.257	8	1.1	123 (158.1)	7,725/1.97

<sup>a</sup> All of the copolymer products contained a small amount of homopolymer of HA

<sup>b</sup> 20 eq of NaBARf was used here rather than 20eq of LiB(C<sub>6</sub>F<sub>5</sub>)<sub>4</sub>; toluene was used as solvent here rather than TCB

**Table 9**  
**Lewis Acid Effect on Ethylene Polymerization by 6.**

**Condition I**

Ex.	Cocatalyst/ amt	Yield (g)	#Me/ 1000CH <sub>2</sub>	m.p. (°C)(ΔH <sub>f</sub> )	Mw/PDI	TON
70	None	23.112	7	134 (169.5)	267,975/3.45	41,195
71	BPh <sub>3</sub> / 10eq	32.347	8	135 (166.0)	260,241/3.15	57,655
72	B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub> /1 0eq	27.993	6	135 (164.2)	247,973/2.69	49,894
73	None <sup>a</sup>	10.716	64	122 (82.9)	167,508/3.27 <sup>b</sup>	17,382/57 3

<sup>a</sup> 4mL 1-Hexene and 6mL TCB was used in this case. Hexene incorporation was 3.19mole%.

<sup>b</sup> Bimodal, Mp 2,549

**Table 10**  
**Ethylene/E-10-U Copolymerization, Condition VII**

Ex.	Catalyst	Comonomer Mole %	Yield (g)	#Me/ 1000CH <sub>2</sub>	m.p. (°C) (ΔH <sub>f</sub> )	Mw/PDI	TON E/Comonomer
74	6	7.4	4.532	22	117 (76.0)	217,052/ 3.29 <sup>a</sup>	5,027/403

<sup>a</sup> Bimodal, Mp 1,346.

**Table 11**  
**Ethylene Polymerization, Condition VIII**

Ex.	Catalyst	Yield (g)	#Me/ 1000CH <sub>2</sub>	m.p. (°C) (ΔH <sub>f</sub> )	Mw/PDI	TON
75	7	19.181	22	130 (211.8)	50,323/5.07	34,188
76	9	3.534	37	127 (92.4)	M <sub>n</sub> = 2,260 <sup>a</sup>	6,299
77	10	5.415	22	128 (102.2)	M <sub>n</sub> = 2,340 <sup>a</sup>	9,652
78	11	3.983	55	120 (156.9)	13,249/2.94	7,099
79	12	8.308	51	94 (123.9) 16 (17.0)	1,772/4.42 <sup>b</sup>	14,808

<sup>a</sup> Based on <sup>1</sup>HNMR

<sup>b</sup> Bimodal, Mp 267 (minor).



Table 12  
Ethylene Polymerization, Condition IX

Ex.	Catalyst	Yield (g)	#Me/1000CH <sub>2</sub>	m.p. (°C) ( $\Delta H_f$ )	Mn, <sup>1</sup> HNM R	TON
80	15	0.301	5	131 (157.7)	4,635	537
81	16	0.156	23	127 (45.2)	1,958	278
82	17	8.408	6	133 [152.4]	22,463	14,986
83	18	10.877	6	135 (158.1)	≥ 9,594	19,387
84	19	0.102	11	131 (93.1)	13,089	182
85	20	0.063	11	133 (69.0)	3,850	112
86	21	7.101	11	131 (168.7)	54,515	12,657
87	22	15.294	11	132 (190.5)	3,965	27,260

Table 13  
Ethylene polymerization (0.01 mmol catalyst, 10 mL TCB, 3.5 MPa  
ethylene, RT, 18 h)

Ex.	Catalyst	Cocatalyst/amt	Yield (g)	#Me/1000CH <sub>2</sub>	m.p. (°C) ( $\Delta H_f$ )	Mw/PDI	TON
88	13	B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub> /10eq	11.829	34	115 (132.4)	25,973/2.85 a	21,084
89	14	B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub> /10eq	15.198	36	115 (140.5)	11,489/3.36	27,089
90	14	BPh <sub>3</sub> /10eq	14.994	23	114 (130.9)	12,064/3.42	26,725
91	14	none	10.911	40	109 (99.0)	11,590/2.82	19,448

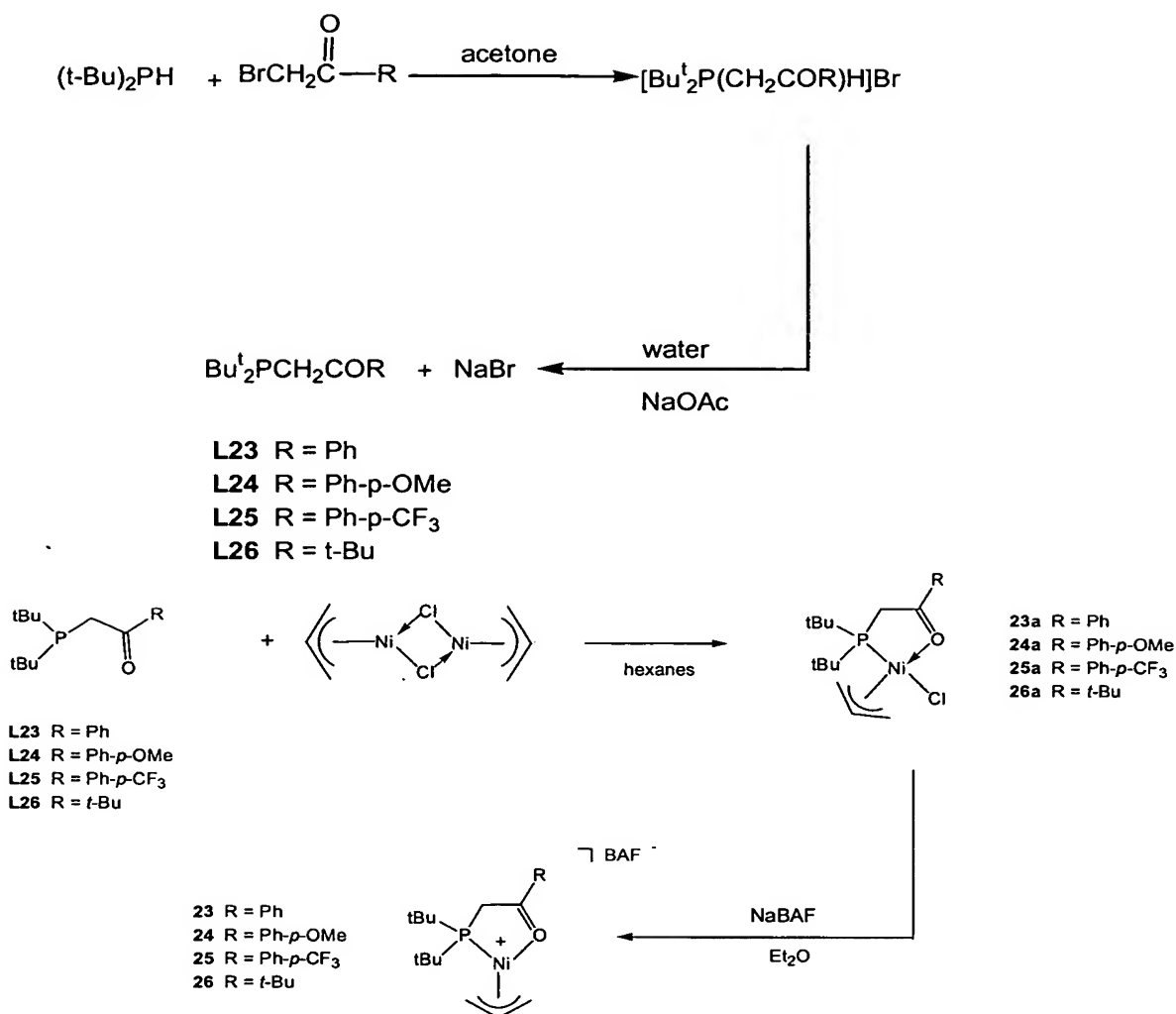
<sup>a</sup> Bimodal, Mp 423.

Table 14  
E/E-10-U Copolymerization , Condition X

Ex.	Catalyst	Comonomer Mole %	Yield (g)	#Me/1000CH <sub>2</sub>	Mw	TON E/Comonomer
92	1	1.9	9.168	16	43,604	227,278/4,514

### Examples 93-127

In the following Examples the following ligands and  $\pi$ -allyl nickel complexes are made/used.



For Examples 93-127  $^1\text{H}$  and  $^{31}\text{P}$  NMR spectra were recorded on either a Varian 300 MHz or Bruker Avance-300 MHz spectrometers.  $^1\text{H}$  NMR spectra of polymer were taken in  $\text{C}_6\text{D}_5\text{Br}$  at  $120^\circ\text{C}$ .

#### Examples 93-96 - Syntheses of the $\beta$ -Keto-Phosphine Ligands L23-L26.

The synthesis of these kinds of phosphines was described by B. L. Shaw, et al., *J. Chem. Soc. Dalton Trans.*, 1980, 299. A solution of 1 mL di-*t*-butyl-phosphine in 5 mL degassed acetone was added dropwise to the appropriate  $\alpha$ -bromoketone in 15 mL degassed acetone with stirring. The mixture was stirred for a while and white crystals precipitated from the solution. The crystals were filtered and dried under vacuum then dissolved in 15 mL degassed water. A solution of sodium acetate (1.6 g, 20 mmol) in 10 mL degassed water was added to the phosphonium salt solution with stirring. The phosphine was extracted with diethyl ether and some colorless or light yellow oil was left after all the volatiles were

removed under vacuum. For **L25** and **L26**, the phosphonium salt didn't precipitate from the acetone solution. The acetone was removed under reduced pressure and the remaining solid was washed with pentane several times then dried. The results are summarized in Table 15.

5

Table 15

Ex.	Ligand	R	Yield / %	Oil Color	$^{31}\text{P}\{^1\text{H}\} / 121\text{MHz} / \text{CDCl}_3 / \delta$ ppm
93	<b>L23</b>	Ph	55	colorless	31.17, s
94	<b>L24</b>	Ph-p-OMe	33	colorless	31.74, s
95	<b>L25</b>	Ph-p-CF <sub>3</sub>	76	yellow	31.40, s
96	<b>L26</b>	t-Bu	45	colorless	23.48, s

Examples 97-100 - Syntheses of the Ni (II) Chloride **23a-26a**.

Nickel dimer,  $[\text{Ni}(\text{C}_3\text{H}_5\text{Cl})_2]$ , was synthesized using a similar procedure to that described by G. Wilke et al., *Angew. Chem., Int. Ed. Engl.* 1966, **5**, 151. A Schlenk flask was charged with  $[\text{Ni}(\text{C}_3\text{H}_5\text{Cl})_2]$  (108 mg, 0.4 mmol) and 15 mL dry, air-free hexane. The flask was cooled to  $-78^\circ\text{C}$  and a solution of appropriate phosphine in 10 mL hexane was added with stirring. The reaction mixture was allowed to warm to RT and stirred for 1~2 h. Solid product precipitated out. The solid was filtered and dried under vacuum. The results are summarized in Table 16.

Table 16

Ex.	Pre-Cat.	Yield / %	Solid Color	$^1\text{H} / 300\text{MHz} / \text{CD}_2\text{Cl}_2$ (central allyl H), $\delta$ / ppm	$^{31}\text{P}\{^1\text{H}\} / 121\text{MHz} / \text{CD}_2\text{Cl}_2$ , $\delta$ / ppm
97	<b>23a</b>	72	yellow	5.40, m	50.32, s
98	<b>24a</b>	59	yellow	5.30, m	49.85, s
99	<b>25a</b>	53	yellow	5.39, m	53.67, s
100	<b>26a</b>	21	orange	5.13, m	46.0, s

Examples 101-104 - Syntheses of the Ni (II) Catalysts **23-26**.

A Schlenk flask was charged with the appropriate nickel chloride complex from Examples 97-100 and 20 mL dry, air-free diethyl ether. After the suspension was cooled to  $-78^\circ\text{C}$ , a solution of NaBAF in 10 mL diethyl ether was added with stirring. The reaction mixture was allowed to warm to RT and stirred for 2~3 h. then filtered via cannula. The filtrate was concentrated under reduced pressure to about 5~10 mL and 50 mL pentane was added. Yellow solid precipitated from the solution and was

filtered then dried under vacuum. Product can be recrystallized from pentane and dichloromethane. The results are summarized in Table 17.

Table 17

Ex.	Catalyst	Yield / %	$^1\text{H}$ / 300MHz / $\text{CD}_2\text{Cl}_2$ (central allyl H) $\delta$ / ppm	$^{31}\text{P}\{^1\text{H}\}$ / 121MHz / $\text{CD}_2\text{Cl}_2$ $\delta$ / ppm
101	23	79	5.75, m	73.50, s
102	24	37	5.70, m	73.25, s
103	25	50	5.75, m	74.50, s
104	26	60	5.67, m	71.71, s

5

#### Example 105

A Schlenk flask was charged with 50 mg of catalyst **23** which was dissolved in 5 mL  $\text{CH}_2\text{Cl}_2$  under 101 kPa ethylene. The polymerization mixture was stirred for 22 h under 0 kPa ethylene then was quenched by addition of a few drops of acetone, 6 M HCl and excess methanol. Polyethylene precipitated from solution and was filtered out, washed with acetone and dried *in vacuo* at 70°C overnight. The result is summarized in Table 18.

10

Table 18

PE, g	TON	Mw
0.2	170	10,400

15

#### Examples 106-110

Polymerizations were carried out in a 250 mL, mechanically stirred Parr® reactor equipped with an electric heating mantle controlled by a thermocouple in the reaction mixture. The reactor was heated under vacuum at 100°C for 1 h before use. After the reactor was purged with ethylene for three times, 65 mL dry, air-free  $\text{CH}_2\text{Cl}_2$  was added via syringe. Then the solvent was purged with ethylene at desired pressure for three times. Catalyst **23** was dissolved in 15 mL  $\text{CH}_2\text{Cl}_2$  and was rapidly added to the reactor via cannula. The reaction mixture was stirred under the desired ethylene pressure then quenched by addition of acetone and methanol. The polymers were filtered from solution, washed with acetone and dried *in vacuo* at 70°C overnight. The conditions and results are summarized in Table 19.

20

25

Table 19

Ex.	Ni Cmpd mg	Solvent	Pressure MPa	T(Start) °C	Exotherm °C	Time h	PE g	TON	Mw
106	50	CH <sub>2</sub> Cl <sub>2</sub>	0.83	RT	52	22	15.0 <sup>d</sup>	13,000 <sup>a</sup>	9,400
107	20	CH <sub>2</sub> Cl <sub>2</sub>	0.35	RT	35	22	6.86	15,000	12,700
108	20	CH <sub>2</sub> Cl <sub>2</sub>	2.76	RT	129	3.25	25.9	57,000 <sup>a</sup>	2,600
109	20	CH <sub>2</sub> Cl <sub>2</sub> /10 % EtOAc <sup>b</sup>	2.76	RT	30	3.25	0.1	220	7,100
110	20	CH <sub>2</sub> Cl <sub>2</sub> /5 % EtOAc <sup>c</sup>	2.76	50 <sup>e</sup>	152	2.5	23.9	52,000 <sup>a</sup>	1,900

a: PE filled up the reactor;

b: dried by MgSO<sub>4</sub>;

c: dried by 4 Å molecular sieves;

5 d: T<sub>m</sub> = 124.8 °C

e: initiated by heating it up to 50°C.

### Examples 111-115

Polymerizations were carried out in a 1000 mL, mechanically stirred  
10 Parr® reactor equipped with an electric heating mantle controlled by a  
thermocouple in the reaction mixture and a cooling system. The reactor  
was heated under vacuum at 100°C for 1 h before use. After the reactor  
was purged with ethylene for three times, 185 mL dry, air-free toluene was  
added via syringe. Then the solvent was purged with ethylene at  
15 2.76 MPa for three times and heated up to the desired temperature.  
Catalyst **23** was dissolved in 15 mL toluene and was rapidly added to the  
reactor via cannula. The reaction mixture was stirred under 2.76 MPa  
ethylene pressure, then quenched by addition of acetone and methanol.  
The polymers were filtered from the liquid, washed with acetone and dried  
20 *in vacuo* at 70°C overnight. The conditions and results are summarized in  
Table 20.

Table 20

Ex.	Ni Cmpd mg	T, °C	Time h	PE g	TON	Mw
111	2	60 (62) <sup>a</sup>	3.25	0.6	13,200	7,500
112	5	60 (63)	3.25	3.7	33,100	7,700
113	5	80 (85)	3.25	6.7	60,200	7,300
114	5	100 (104)	3.25	21.1 b	190,000	8,000
115	5	60 (62)	9.75	4.9	43,300	7,700

a: number in the parenthesis is the highest temperature that the reaction mixture reached.

b: PE filled up the reactor.

5

### Examples 116-125

Polymerizations were carried out in a 1000 mL, mechanically stirred Parr® reactor equipped with an electric heating mantle controlled by a thermocouple in the reaction mixture and a cooling system. The reactor was heated under vacuum at 100°C for 1 h before use. After the reactor was purged with ethylene for three times, 185 mL dry, air-free toluene was added via syringe. Then the toluene was purged with ethylene at desired pressure for three times. The appropriate Ni[II] complex was dissolved in 15 mL toluene and was rapidly added to the reactor via cannula. The reaction mixture was heated up to the desired temperature and stirred under the desired ethylene pressure. The reaction was quenched by addition of acetone and methanol. The polymers were filtered from the liquid, washed with acetone and dried *in vacuo* at 70°C overnight. The conditions and results are summarized in Table 21.

20

Table 21

Ex.	Catalyst	Ni Cmpd Mg	Pressure MPa	T, °C	Time h	PE d g	TON	Mw
116	23	10	2.76	60(100) a	3.25	51.9 b	230,000	6,100
117	23	5	2.76	60 (62)	3.25	28.4 b	254,000	-
118	24	5	2.76	60 (62)	3.25	55.6 b	500,000	-
119	23	5	2.76	40 (65)	3.25	38.6 b	345,000	-
120	23	5	2.76	26(88)	3.25	85.4 b	763,000	-
121	23	5	2.76	26(88)	1	77.9 b	700,000	-
122	23	5	1.38	26 (46)	1	27.6 b	250,000	-
123	23	5	0.69	26 (42)	1	14.8	133,000	-
124	23	1	2.76	30 (36)	1	25.5b	1,138,000	-
125	23	1	2.76	30	0.25	1.67	75,000	-

a: number in the parenthesis is the highest temperature that the reaction mixture ever reached

b: PE filled up the reactor.

5

#### Examples 126-127

Polymerizations were carried out in a 1000 mL, mechanically stirred Parr® reactor equipped with an electric heating mantle controlled by a thermocouple in the reaction mixture and a cooling system. The reactor was heated under vacuum at 100°C for 1 h before use. After the reactor was purged with ethylene for three times, dry, air-free toluene and 5.0 mL methyl-10-undecenoate were added via syringe. Then the solvent was purged with ethylene at desired pressure for three times. Catalyst **23** was dissolved in toluene and was rapidly added to the reactor via cannula. The reaction mixture was heated up to the desired temperature and stirred under desired ethylene pressure. The reaction was quenched by addition of acetone and methanol. The polymers were filtered from the liquid, washed with acetone and dried *in vacuo* at 70°C overnight. The conditions and results are summarized in Table 22.

20

Table 22

Ex.	Ni Cmpd mg	Toluene /ml	Pres s. MPa	T °C	Time h	Polyme r G	TON	Comonome r mol% c
126	20	195	2.76	30 (105) <sup>b</sup>	0.25	56.1	123,000	0.2
127	10	90	0.69	60 (61)	4	3.9	17,000	1.0

a: 5 mL methyl-10-undecenoate, which was purified and dried before use.

b: Reaction exothermed to 105°C in 15 min.

c: In product polymer, measured by high-temperature <sup>1</sup>H NMR.

5

### Example 128 - Synthesis of Precatalyst **23a**

To a suspension of [Ni(C<sub>3</sub>H<sub>5</sub>)Cl]<sub>2</sub> (0.300 g, 1.11 mmol) in 25 mL hexanes was added a solution of **L23** (0.610 g, 2.31 mmol) in 10 mL hexanes. The resulting orange-yellow suspension was stirred at RT for 2 h. The reaction mixture was cooled to -78°C, and the bright orange solid was isolated by filtration, washed with hexanes (2 x 15 mL), and dried under high vacuum to yield 0.751 g (85%) of **23a**. <sup>1</sup>H NMR (200 MHz, CD<sub>2</sub>Cl<sub>2</sub>): δ 7.98 (d, 2H, <sup>3</sup>J(HH) 8 Hz, Ar-*Ho*), δ 7.54 (m, 3H, Ar-*Hm,p*), δ 5.38 (m, 1H, allyl), δ 3.64 (d, 2H, <sup>3</sup>J(PH) 10, -CH<sub>2</sub>-), δ 3.45 (s(broad) 2H, allyl), δ 2.51 (s(broad), 2H, allyl), δ 1.43 (d, 18H, <sup>3</sup>J(PH) 13, C-CH<sub>3</sub>).

15

### Example 129 - Synthesis of Catalyst **23**

To a suspension of **23a** (0.500 g, 1.25 mmol) in 25 mL diethyl ether at -78°C was added a solution of NaBAF (1.106 g, 1.25 mmol) in 15 mL diethyl ether. The resulting yellow-orange solution was warmed to RT and stirred for 3 h. The yellow solution was separated from solid NaCl precipitate via filtration and the volume was reduced to ~5 mL. Fifty mL pentane was slowly added to precipitate a bright yellow solid. The product was isolated by filtration, washed with pentane (2 x 15 mL), and dried under high vacuum to yield 1.301 g (85%) of catalyst **23**. <sup>1</sup>H NMR (CDCl<sub>3</sub>, 300 MHz): δ 7.97 (d, 2H, <sup>3</sup>J(HH) 8.7 Hz, Ar-*Ho*), δ 7.74 (t, 1H, <sup>3</sup>J(HH) 9, Ar-*Hp*), δ 7.68 (s, 4H, BAF), δ 7.48 (t, 2H, <sup>3</sup>J(HH) 9, Ar-*Hm*), δ 7.47 (s, 4H, BAF), δ 5.65 (m, 1H, allyl), δ 4.86 (d, 1H, <sup>3</sup>J(HH) 7.5, allyl), δ 3.66 (d, 1H, <sup>3</sup>J(HH) 14, allyl), δ 3.47 (dd, <sup>3</sup>J(PH) 22, <sup>1</sup>J(HH) 8, -CH<sub>2</sub>-), δ 3.34 (m, 1H, allyl), δ 2.05 (d, 1H, <sup>3</sup>J(HH) 13, allyl), δ 1.36 (d, 9H, <sup>3</sup>J(PH) 15, C-CH<sub>3</sub>), δ 1.19 (d, 9H, <sup>3</sup>J(PH) 15, C-CH<sub>3</sub>). <sup>31</sup>P{<sup>1</sup>H} NMR (CD<sub>2</sub>Cl<sub>2</sub>, 121 MHz): δ 73.1 (s). IR (CH<sub>2</sub>Cl<sub>2</sub>): ν 1610 cm<sup>-1</sup> (C=O stretch). Anal. (C<sub>51</sub>H<sub>42</sub>POBF<sub>24</sub>Ni) calcd: C, 49.91; H, 3.45. Found: C, 50.04; H, 3.62.

25

30



### Examples 130-146

General Procedure. 10 mg (8.2  $\mu$ mol) of catalyst **23** was added to a flame-dried Schlenk flask under argon. The flask was back-filled twice with ethylene and placed in an oil bath at the appropriate reaction temperature. The flask was charged with 25 mL toluene and stirred under 101 kPa ethylene for the appropriate reaction time. The polymerization was quenched with 5 mL acetone and 1 mL HCl, and the mixture was poured into 250 mL stirring methanol to precipitate the polymer. The polymer was isolated by filtration, washed with acetone, and dried overnight in a vacuum oven. Results are given in Table 23. In Examples 130-133 the reaction produced a very small amount of polymer (visible upon precipitation into MeOH). However, the amount was so small that the polymer was not isolated and weighed. It is estimated that these reactions produce <2 mg polymer.

Table 23

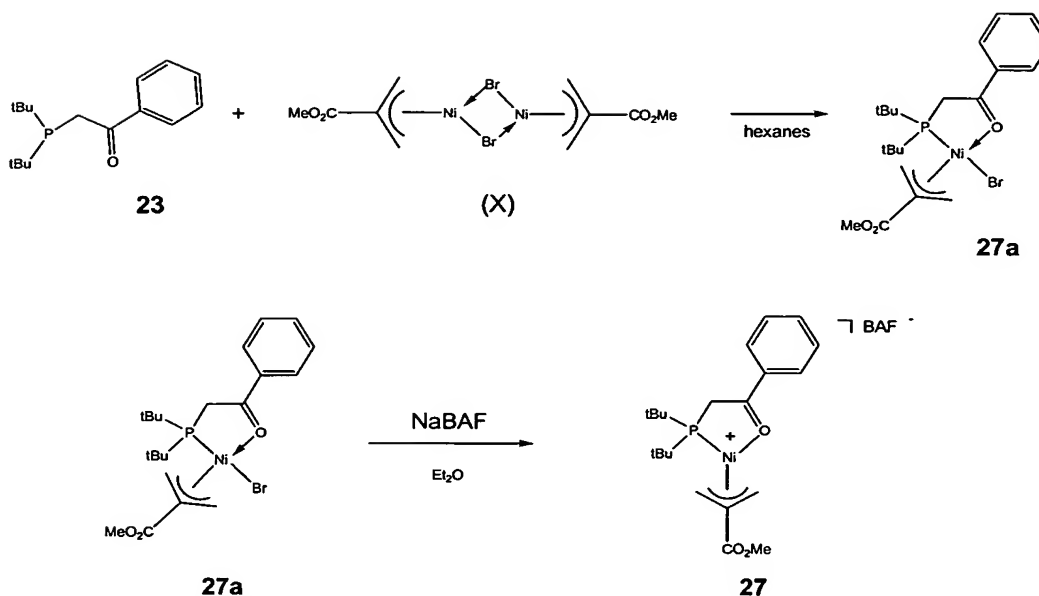
Ex.	Temp (°C)	Time (h)	Yield (mg)	TON
130	25	1	<2	--
131	25	2	<2	--
132	25	3	<2	--
133	25	6	<2	--
134	40	1	12	52
135	40	2	42	182
136	40	3	115	500
137	40	6	162	704
138	40	18	278	1208
139	60	1	11	48
140	60	2	28	122
141	60	3	131	570
142	60	6	180	783
143	80	1	5	22
144	80	2	65	282
145	80	3	105	456
146	80	6	118	513

### Examples 147-153

Followed same polymerization procedure as in Examples 130-146, using 10 mg (8.2  $\mu$ mol) of catalyst **23** plus 10.1 mg (10  $\mu$ mol) H[OEt<sub>2</sub>]<sub>2</sub>BAF. Results are given in Table 24.

Table 24

Ex.	Temp (°C)	Time (h)	Yield (mg)	TON
147	25	3	11	48
148	40	1	<2	--
149	45	1	118	513
150	50	1	105	456
151	60	1	264	1148
152	70	1	154	670
153	80	1	462	2009



#### Example 154 - Synthesis of **27a**

**(X)** was prepared using the same literature procedure as nickel allyl chloride dimer, with the exception that methyl-2-bromomethyl acrylate was substituted for allyl chloride.

Complex **27a** was prepared by following the same procedure as **23a** using 0.400 g (0.841 mmol) **(X)** and 0.555 g (2.10 mmol) **L23**. Yields 0.629 g (75%) bright orange powder. <sup>1</sup>H NMR (CD<sub>2</sub>Cl<sub>2</sub>, 200 MHz): δ 8.03 (d, 2H, Ar-*H*), δ 7.56 (m, 3H, Ar-*H*), δ 4.05 (s(broad), 2H, allyl), δ 3.83 (s, 3H, -OMe), δ 3.77 (d, 2H, -CH<sub>2</sub>-), δ 1.42 (d, 18H, C-CH<sub>3</sub>).

#### Example 155 - Synthesis of Catalyst **27**

Catalyst **27** was prepared by following the same procedure as catalyst **23** using 0.300 g (0.589 mmol) **27a** and 0.556 g (0.627 mmol) NaBAF. Yields 0.702 g (91%) bright yellow powder. <sup>1</sup>H NMR (CD<sub>2</sub>Cl<sub>2</sub>, 300 MHz): δ 8.13 d, 2H, <sup>3</sup>J(HH) 8.5 Hz, Ar-*H*), δ 7.88 (t, 1H, <sup>3</sup>J(HH) 7.5, Ar-*H*), δ 7.72 (s, 8H, BAF), δ 7.62 (t, 2H, <sup>3</sup>J(HH) 8, Ar-*H*), δ 7.55 (s, 4H,

BAF),  $\delta$  5.37 (s(broad), 2H, allyl),  $\delta$  3.87 (s, 3H, -OMe),  $\delta$  3.62 (dd, 2H,  $^3\text{J}(\text{PH})$  14.7,  $^1\text{J}(\text{HH})$  7.8, -CH<sub>2</sub>-),  $\delta$  2.25 (s(broad), 2H, allyl),  $\delta$  1.47 (d, 9H,  $^3\text{J}(\text{PH})$  16.5, C-CH<sub>3</sub>),  $\delta$  1.27 (d, 9H,  $^3\text{J}(\text{PH})$  15, C-CH<sub>3</sub>).

#### Examples 156-159

- 5 Followed same procedure as Examples 130-146, using 10.5 mg (8.2  $\mu\text{mol}$ ) of catalyst **27**. Results are given in Table 25.

Table 25

Ex.	Temp (°C)	Time (h)	Yield (mg)	TON
156	25	3	<2	--
157	40	3	21	91
158	60	3	51	222
159	80	3	244	1060

#### 10 Examples 160-167

Followed same procedure as Examples 130-146, using 10 mg (8.2  $\mu\text{mol}$ ) of catalyst **23**, or 10.5 mg (8.2  $\mu\text{mol}$ ) of catalyst **27**, plus 20.9 mg (40.8  $\mu\text{mol}$ , 5 eq.) B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub>. Results are given in Table 26.

15

Table 26

Ex.	Catalyst	Temp (°C)	Time (h)	Yield (mg)	TON
1	<b>23</b>	25	1	57	248
2	<b>27</b>	25	1	130	568
3	<b>23</b>	40	1	20	87
4	<b>27</b>	40	1	194	843
5	<b>23</b>	60	1	51	221
6	<b>27</b>	60	1	278	1208
7	<b>23</b>	80	1	162	704
8	<b>27</b>	80	1	265	1152

#### Examples 168-177 - Polymerization of 1-hexene

- General Procedure. Twenty mg (16.2  $\mu\text{mol}$ ) of catalyst **23**, or 10.5 mg (10  $\mu\text{mol}$ ) of catalyst **27** plus 20.9 mg (40.8  $\mu\text{mol}$ ) B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub> (with **27** only) was added to a flame-dried Kontes® flask under argon. The flask was charged with 5 mL toluene and placed in an oil bath at the appropriate temperature. The flask was charged with 5 mL (39.98 mmol) 1-hexene, sealed, and stirred under static argon. The polymerization was quenched with 5 mL acetone, and the volatiles were removed via rotary evaporation.
- 25 The residue was extracted with hexanes, which was subsequently passed

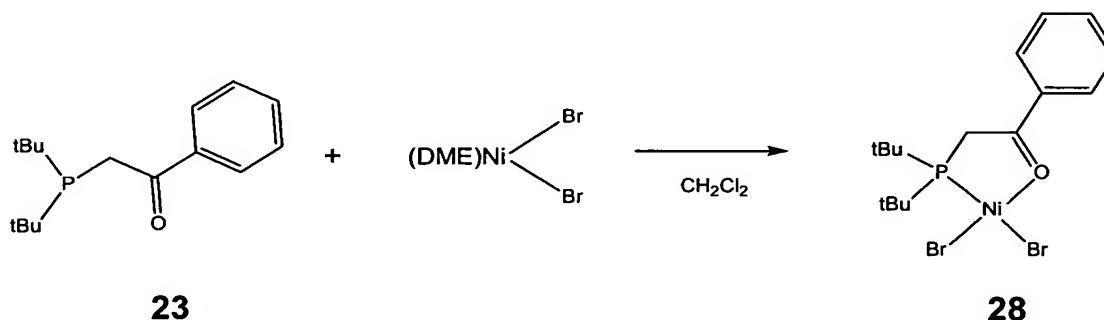
through a plug of 2:1 silica gel/alumina. The solvent was removed from the extractions and the resulting clear, colorless oil was dried under high vacuum. Results are given in Table 27.

5

Table 27

Ex.	Catalyst	Temp (°C)	Time (h)	Yield (g)	TON
168	<b>23</b>	25	16	--	--
169	<b>23</b>	80	3	0.078	52
170	<b>23</b>	80	16	1.640	1195
171	<b>23</b>	80	49	0.993	724
172	<b>23</b>	100	16	0.700	510
173	<b>27</b> +B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub>	25	18	0.043	62
174	<b>27</b> +B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub>	40	18	0.928	1345
175	<b>27</b> +B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub>	60	1	0.016	23
176	<b>27</b> +B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub>	60	3	0.744	1078
177	<b>27</b> +B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub>	60	18	1.243	1801

Polymers produced were typically thin, clear, colorless oils. A representative GPC result (Example 170):  $M_w = 1400$ ,  $M_n = 1140$ .



10

#### Example 170 - Synthesis of Catalyst **28**

To a suspension of 0.500 g (1.62 mmol) (DME)NiBr<sub>2</sub> in 20 mL CH<sub>2</sub>Cl<sub>2</sub> at RT was added a solution of 0.471 g (1.78 mmol) **L23** in 5 mL CH<sub>2</sub>Cl<sub>2</sub>. The resulting dark brown/red suspension was stirred at room temperature for 16 h, during which time a light purple precipitate formed. The solid was isolated by filtration, washed with diethyl ether (2 x 15 mL), and dried under high vacuum to yield 0.493 g (63%) bright purple/pink powder.

15

#### Examples 179-184

20

General Procedure. Ten mg (20.7  $\mu\text{mol}$ ) of catalyst **28** was added to a flame-dried Schlenk flask under argon. The flask was back-filled twice with 101 kPa ethylene and charged with 50 mL toluene. The flask was then charged with 1.5 mL MMAO (6.42 wt. %, solution in heptane) and stirred under 101 kPa ethylene. The polymerization was quenched with 10 mL acetone/2 mL HCl and poured into stirring methanol to precipitate the polymer. The product was isolated by filtration, washed with acetone, and dried in a vacuum oven. Results are given in Table 28.

Table 28

Ex.	Temp ( $^{\circ}\text{C}$ )	Time (min)	Yield (mg)	TON
179	25	5	148	254
180	25	10	165	284
181	25	60	214	369
182	0	10	323	556
183	0	30	533	918
184	0	60	689	1187

#### Examples 185-191

General Procedure: In a drybox, a glass insert was loaded with the isolated Ni catalysts. TCB, and optionally comonomers, were added to the glass insert. A Lewis acid cocatalyst (typically  $\text{BPh}_3$  or  $\text{B}(\text{C}_6\text{F}_5)_3$ ) was often added to the solution. The insert was then capped and sealed. Outside of the drybox, the tube was placed under ethylene and was shaken mechanically for about 18 h. The resulting reaction mixture was mixed with methanol, filtered, repeatedly washed with methanol and the solid polymer dried *in vacuo*. Results are given in Tables 29 and 30.

Table 29

Ethylene/Polar Monomer Copolymerization Using 0.02mmole **1**, with or without  $B(C_6F_5)_3$ , with a Total Volume of 10mL of TCB and Polar Monomer, at 80°C under 3.4 MPa of E

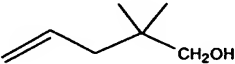
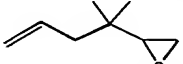
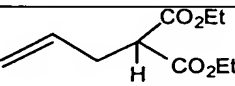
Ex	$B(C_6F_5)_3$	Polar Monomer	Polar Monomer Volume (mL)	Yield (g)
185	40eq		2	1.617
186	0eq		3	3.124
187	40eq	$CH_2=CH(CH_2)_2C(O)CH_3$	3	0.030
188	40eq		3	0.558
189	40eq	$CH_2=CH(CH_2)_7C(CH_3)(CH_2O)_3C$	3	7.699

Table 30

Ethylene/CO Copolymerization Using 0.02mmole Catalyst, 40eq  $B(C_6F_5)_3$ , 10mL TCB, at 100°C under 2.8 MPa Ethylene/CO (9:1 ratio) for 16 h in

## Shaker Tubes

Ex	Catalyst	Yield (g)
190	11	0.027
191	14	0.528

## Example 192

In a drybox, a glass insert was loaded with 0.0025 mmole **1**, 6mL TCB and 4mL E-10-U. The insert was then capped and sealed. Outside of the drybox, the tube was placed under 6.9MPa ethylene and was shaken mechanically at 100°C for 18 h. The resulting reaction mixture was mixed with methanol, filtered, repeatedly washed with methanol and the solid polymer dried *in vacuo*. White solid (0.863 g) was obtained.  $^1H$ NMR: 6.9 mole% E-10-U. 30Me/1000CH<sub>2</sub>. GPC (TCB, 135°C): Mw = 10,625; Mn = 4,667; PDI = 2.3. The polymer had a melting point of 119°C (147.4J/g) based on DSC.

## Examples 193-203

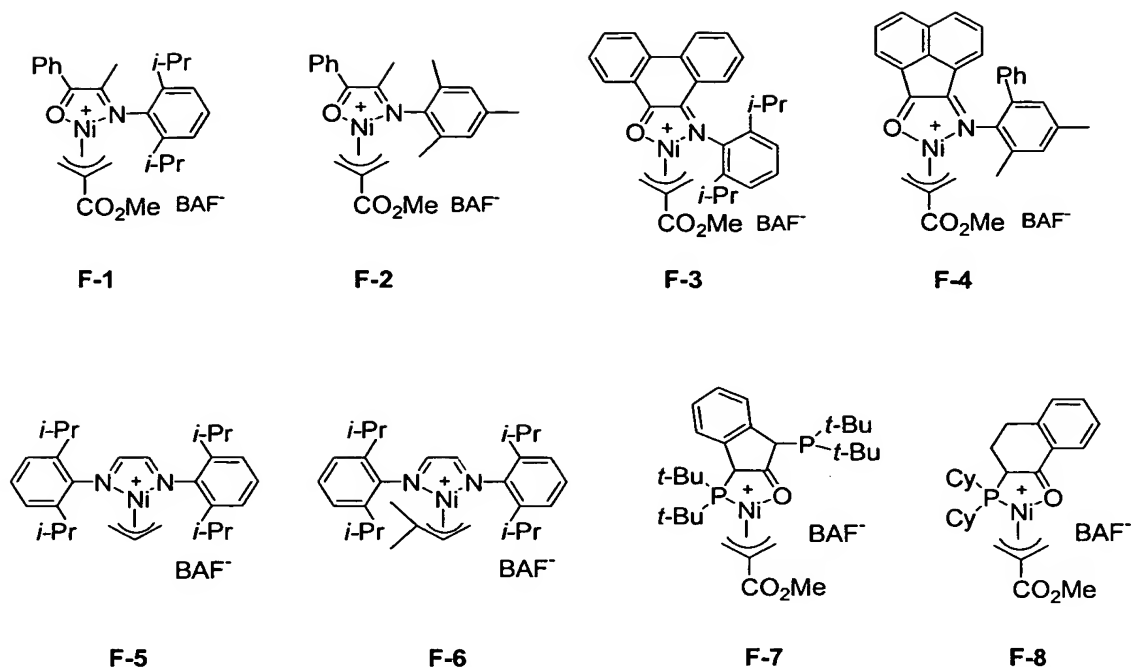
### General Information Regarding Molecular Weight Analysis

GPC molecular weights are reported versus polystyrene standards. Unless noted otherwise, GPC's were run with RI detection at a flow rate of 1 mL/min at 135°C with a run time of 30 min. Two columns were used: AT-806MS and WA/P/N 34200. A Waters RI detector was used and the solvent was TCB with 5 grams of BHT per gallon. Dual UV/RI detection GPC was run in THF at rt using a Waters 2690 separation module with a Waters 2410 RI detector and a Waters 2487 dual absorbance detector. Two Shodex columns, KF-806M, were used along with one guard column, KF-G. In addition to GPC, molecular weight information was at times determined by  $^1\text{H}$  NMR spectroscopy (olefin end group analysis) and by melt index measurements (g/10 min at 190°C).

### General Procedure A for Ethylene Polymerizations and

Copolymerizations: In a nitrogen-filled drybox, a 40 mL glass insert was loaded with the nickel compound and, optionally, a Lewis acid (e.g.,  $\text{BPh}_3$  or  $\text{B}(\text{C}_6\text{F}_5)_3$ ) and borate (e.g., NaBAF or LiBArF) and any other specified cocatalysts. Next, the solvent was added to the glass insert followed by the addition of any co-solvents and then comonomers. The insert was greased and capped. The glass insert was then loaded in a pressure tube inside the drybox. The pressure tube was then sealed, brought outside of the drybox, connected to the pressure reactor, placed under the desired ethylene pressure and shaken mechanically. After the stated reaction time, the ethylene pressure was released and the glass insert was removed from the pressure tube. The polymer was precipitated by the addition of MeOH (~20 mL). The polymer was then collected on a frit and rinsed with MeOH and, optionally, acetone. The polymer was transferred to a pre-weighed vial and dried under vacuum overnight. The polymer yield and characterization were then obtained.

Nickel compounds used in these examples are shown below.



#### Ligand and Catalyst Syntheses:

The imine-ketone and alpha-diimine ligands and their Ni complexes **F-1** through **F-6** were synthesized according to standard literature methods (tom Dieck, h.; Svoboda, M.; Grieser, T., Z. Naturforsch., 1981, 36b, 832). Typically a small excess of aniline was added to the diketone in methanol together with a catalytic amount of formic acid. The reaction mixtures were stirred for several days and the precipitate was collected on a frit, washed with methanol, and dried *in vacuo*.

The ligand for complex **F-7** was synthesized as follows: In a nitrogen-filled drybox, 2-indanone (0.50 g, 3.78 mmol) was placed in a round-bottom flask and dissolved in 20 mL of THF. Sodium hydride (0.77 g, 30.3 mmol) was added to the flask and the reaction mixture was stirred for approximately 1 h. Next, (*t*-Bu)<sub>2</sub>PCl (1.37 g, 7.57 mmol) was added to the reaction mixture and stirring was continued overnight. The solution was filtered through a frit with Celite®. The solid was dissolved in pentane and filtered again to yield 1.59 g of a yellow powder. <sup>1</sup>H NMR (CD<sub>2</sub>Cl<sub>2</sub>, diagnostic resonances) δ 1.3 - 1.0 ppm (two major sets of doublets, P(*t*-Bu)).

The ligand for complex **F-8** was synthesized as follows: In a nitrogen-filled drybox, tetralone (2.92 g, 20 mmol) was added dropwise to a solution of LDA (2.14 g, 20 mmol) in Et<sub>2</sub>O (25 mL). The tetralone enolate was isolated by precipitation with anhydrous hexane followed by



filtration and drying. Next, Cy<sub>2</sub>PCI (0.232 g, 1.0 mmol) and tetralone enolate (0.152 g, 1.0 mmol) were each dissolved in THF (1 mL), mixed, and the reaction mixture was stirred overnight. The solvent was removed *in vacuo*. The compound was dissolved in toluene, the solution was  
5 filtered, and the toluene was removed to give the product. <sup>31</sup>P NMR (C<sub>6</sub>D<sub>6</sub>): δ 135.16; IR shows very intense band at 1716 cm<sup>-1</sup>.

The Ni complexes F-1 through F-8 were synthesized by stirring an Et<sub>2</sub>O solution of the ligand (1 equiv), the appropriately substituted [(allyl)Ni(halide)]<sub>2</sub> precursor (0.5 equiv) and NaBAF (1 equiv) in a nitrogen-  
10 filled drybox for several hours. The solution was then filtered through a frit with dry Celite® and the solvent was removed *in vacuo*. The product was washed with pentane and then dried *in vacuo*.

Results for Examples 193-203 are listed in Tables 31 and 32 below. The polymerizations were carried out according to the General  
15 Polymerization Procedure A. Varying amounts of acrylate homopolymer are present in some of the isolated polymers. In Tables 31 and 32, the yield of the polymer is reported in grams and includes the yield of the dominant ethylene/acrylate copolymer as well as the yield of any acrylate homopolymer that was formed. Molecular weights were determined by  
20 GPC, unless indicated otherwise. Mole percent acrylate incorporation and total Me were determined by <sup>1</sup>H NMR spectroscopy, unless indicated otherwise. Mole percent acrylate incorporation is typically predominantly IC, unless indicated otherwise.

**Table 31**  
**Ethylene/Acrylate Copolymerizations (0.02 mmol Ni Compd, 1 mL EGPEA, 9 mL Solvent, 20 equiv B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub>, 10 equiv NaBAF, 6.9 MPa E, 18 h)**

Ex.	Compd	Solvent	Temp °C	Yield g	Acrylate Incorp. mol%	M.W.	Total Me
193	F-1	TCB	110	0.316	0.8	M <sub>p</sub> =2,201; M <sub>w</sub> =42,507; M <sub>n</sub> =1,561; PDI=27.23	31.6
194	F-7	p-Xylene	120	1.04	1.0 0.43 IC 0.54 EG	M <sub>p</sub> =988; M <sub>w</sub> =1,719; M <sub>n</sub> =780; PDI=2.20	22.7
195	F-8	p-Xylene	120	0.053	2.0 1.57 IC 0.47 EG	M <sub>n</sub> ( <sup>1</sup> H)=2,218.8	32.4
196	F-2	TCB	110	0.076	a	Nd	Nd
197	F-3	TCB	100	0.142	a	M <sub>p</sub> =19,594; M <sub>w</sub> =19,765; M <sub>n</sub> =3,835; PDI=5.15	Nd
198	F-4	TCB	120	0.547	a	M <sub>p</sub> =3,778; M <sub>w</sub> =5,551; M <sub>n</sub> =2,043; PDI=2.72	Nd

5 <sup>a</sup>Copolymer resonances are observable in the <sup>1</sup>H NMR spectrum, but were not integrated due to overlap with significant homopolymer resonances.

**Table 32**  
**Ethylene Homopolymerization and Ethylene/Acrylate Copolymerization, 6.9 MPa E (18 h)**

Ex.	Compd (mmol)	Acrylate mL (Solvent mL)	LA equiv	Temp °C	Yield g	Acrylate Incorp. mol%	M.W.	Total Me
199	F-5 <sup>a</sup> (0.06)	None (CDCl <sub>3</sub> , 5)	None	80	20.55	—	Nd	Nd
200	F-3 (0.06)	None (CDCl <sub>3</sub> , 5)	None	80	0.974	—	Nd	Nd
201	F-3 (0.06)	None (C <sub>6</sub> D <sub>6</sub> , 5)	None	25	3.60	—	Nd	Nd
202	F-6 (0.02)	THA 2 (TCB 8)	None	120	0.63	0.64 ( <sup>13</sup> C)	Dual UV/RI. UV: M <sub>p</sub> =8,098; RI: M <sub>p</sub> =9,383;	34.0
203	F-6 (0.02)	THA 2 (TCB 8)	B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub> 40	120	2.37	0.23 ( <sup>13</sup> C)	M <sub>p</sub> =12,775; M <sub>w</sub> =13,777; M <sub>n</sub> =7,165; PDI=1.92	43.1 ( <sup>13</sup> C)

15 <sup>a</sup>Complex F-5 yields higher productivity for ethylene homopolymerization in the absence of a Lewis acid than a number of other alpha diimine nickel allyl complexes. For some comparative examples that were run in the same reactor as the present example and which exhibited lower productivity in the absence of Lewis acid cocatalyst, see US Patent 5,886,224 examples 521 - 531 (no Lewis acid present) and examples 532 - 537 (Lewis acid present).

### Examples 204-205

A 600 mL Parr® reactor was cleaned, heated up under vacuum, and then allowed to cool under nitrogen. In a drybox, 10.0 mg of **3** (and also 20mg BPh<sub>3</sub> for Example 205) was dissolved in 60mL chlorobenzene in a 150mL addition cylinder. The cylinder was brought out of the drybox and was attached to the Parr® reactor. The solution in the addition cylinder was pressured into the 80°C reactor under 2.1 MPa. Nitrogen was quickly vented. Ethylene pressure (~6.9 MPa) was applied. The autoclave was allowed to stir (600RPM) at 100°C under 6.9 MPa of ethylene for 1 h. The heating source was removed and ethylene was vented. The autoclave was back-filled with 700 kPa nitrogen and the nitrogen was vented after brief stirring. This was repeated two more times. The room temperature mixture was poured into 500mL methanol, filtered, and washed with methanol. The resulting polymer was blended with methanol, filtered, and washed with methanol. Repeated this blending/washing procedure two more times. It was dried *in vacuo* overnight. Results are shown in Table 33.

Table 33

Ex.	Equiv. Lewis Acid	Yield (g)	Mw/PDI
204	None	18.31	10,819/2.6
205	10eq BPh <sub>3</sub>	20.83	10,673/2.9

### Examples 206-208

A 600 mL Parr® reactor was cleaned, heated under vacuum, and then allowed to cool under nitrogen. In the drybox, 12.4 mg of **14** (and also 182mg BPh<sub>3</sub> for Example 207, or 385 mg B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub> for Example 208) was dissolved in 90mL toluene and 60mL E-10-U in a 300mL RB flask. It was sealed using a rubber septum. Outside the drybox, a 100°C oil bath was prepared. The RB flask was removed from the drybox. The solution was transferred via cannula into the autoclave under positive nitrogen pressure. The autoclave was sealed and pressurized to 700 kPa nitrogen. Nitrogen was then vented. The pressuring/venting was repeated two more times. At about 35 kPa nitrogen, the autoclave was stirred at about 600 rpm. Ethylene pressure (~4.5 MPa) was applied. The autoclave was

quickly placed in the preheated 100°C bath. The pressure of the autoclave was adjusted to about 5.5 MPa and the temperature of the bath was adjusted to make the reaction temperature about 100°C. It was stirred at this temperature and pressure for 2 hr. The heating source was removed and ethylene was vented. The autoclave was back-filled with 700 kPa nitrogen and the nitrogen was vented after brief stirring. This was repeated two more times. The room temperature mixture was poured into 500mL methanol, filtered, and washed with methanol. The resulting polymer was blended with methanol, filtered, and washed with methanol. This procedure was repeated two more times. It was dried *in vacuo* overnight. Results are shown in Table 34.

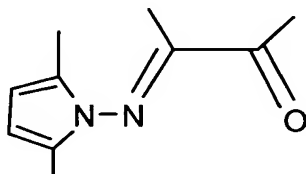
Table 34

Ex.	Equiv. Lewis Acid	Yield (g)	E-10-U (Mole%)	#Me/1000CH <sub>2</sub>
206	none	29.57	1.3	6
207	80 BPh <sub>3</sub>	44.07	1.6	6
208	80eq B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub>	25.30	2.3	6

#### Example 209

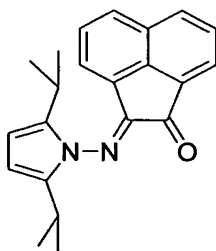
##### Ligand G-1

The syntheses of ligand G-1 was published in WO 00/50470.



G-1

##### Ligand G-2 - Acenaphthenedionemono[2,5-diisopropylpyrrol-1-imine]



G-2

A 100 mL round bottom flask was charged with 0.3215 g (1.76 mmol) of acenaphthenequinone, 0.586 g (3.53 mmol) of 1-amino-2,5-diisopropylpyrrole, 50 mL methanol and 1 drop of formic acid. The reaction was monitored by TLC with elute of 30% ethyl acetate in hexane and stirred 7 days at RT. The solvent was removed under vacuum and the unreacted pyrrole was recovered by sublimation. The red residue was extracted with hexane. 0.40 g (1.2 mmol) of orange red powder was obtained in 69% yield. <sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 8.12 (d-d, 2, H-acen), 8.01 (d, 1, H-acen), 7.77 (t, 1, H-acen), 7.50 (t, 1, H-acen), 6.70 (d, 1, H-acen), 5.98 (s, 2, H-py), 2.60 (m, 2, H-Pr-i), 1.10 (d, 6, CH<sub>3</sub>-Pr-i), 0.94 (d, 6, CH<sub>3</sub>-Pr-i).

#### Copolymerization of ethylene and polar-comonomers

Into a glass vial used for shaker reaction, were weighed 0.02 mmol of Ligand G-1 or G-2, 1 equivalent of allyl-Ni dimer ([[(2-MeO<sub>2</sub>C-C<sub>3</sub>H<sub>4</sub>)NiBr]<sub>2</sub>]) and 10 equivalent of NaBaf. 2 mL of ether was added into the vial and shaken well. After two hours during which time the most of the ether was evaporated off, 20 equivalent of tris(pentafluorophenyl)borane cocatalyst, 9 mL of 1,2,4-trichlorobenzene and 1 mL of ethylene glycol phenyl ether acrylate was added into the vial. The vials were placed into a shaker tube, sealed and taken out from the dry box. The shaker tube was connected to a high pressure, ethylene shaker reaction unit. Reaction conditions for polymerization were: 1000 psi ethylene, 120°C, 18 hours. The results are presented below in Table 35.

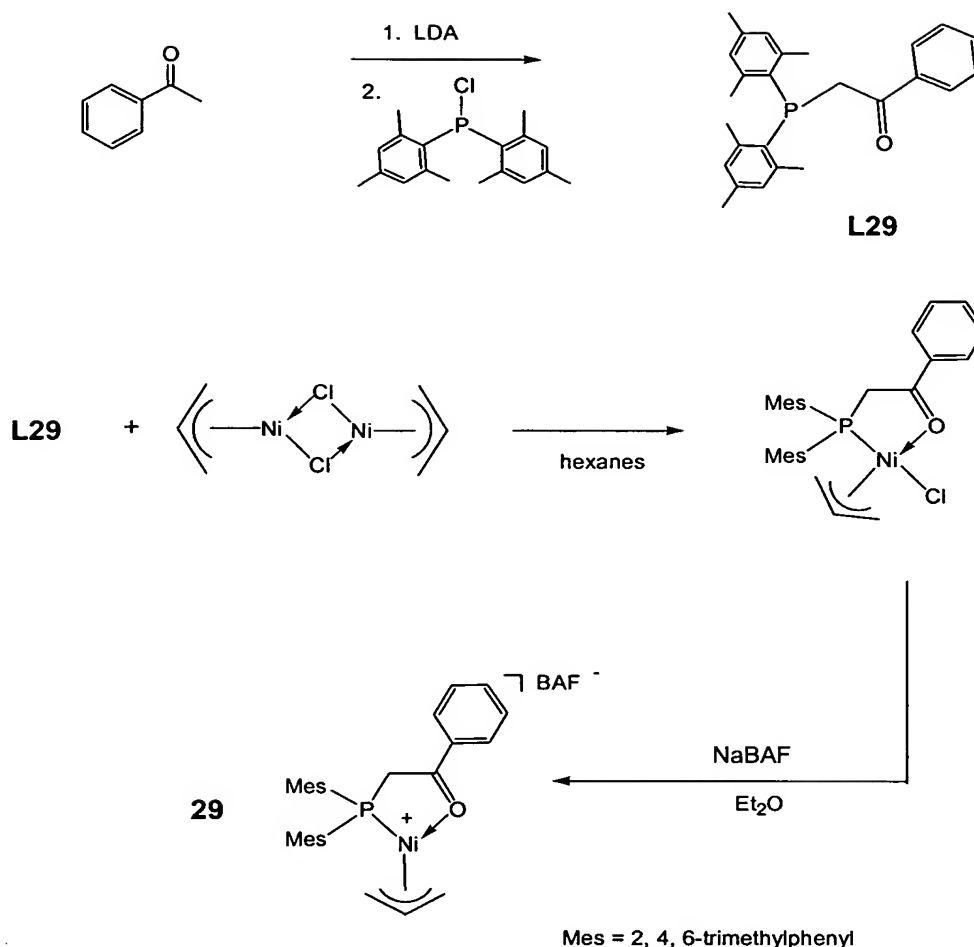
**Table 35**

Lig	Polym yield (g)	Catalyst Product (Kg/g)	M <sub>w</sub>	M <sub>n</sub>	M <sub>w</sub> /M <sub>n</sub>	Me/1000 CH <sub>2</sub>	Comon. Incorp. (Mol %)	Peak MP (°C) ΔH (J/g)
G-1	0.5487	0.44	4870	1537	3.17	-	-	119 shoulder
G-2	1.5404	1.26	Bimodal			36.0	0.13	86 104
G-2	1.3004	1.11	24477	627	39.05 <sup>b</sup>	-	Trace	98 120
G-2	0.8664	0.72	7109	1599	4.45 <sup>b</sup>			86 broad
G-2	1.0002	0.85	64795	1340	48.35			

Notes:

- 20 equivalent of NaBArf,
- RI data for GPC in THF, dual detector RI-UV,
- [AlMe<sub>2</sub>(Et<sub>2</sub>O)]<sup>+</sup>[MeB(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub>]<sup>-</sup> cocatalyst and *p*-xylene solvent,
- Hexyl acrylate comonomer.

## Example 210



- 5           **Synthesis of L29.** Acetophenone (0.383 mL, 3.28 mmol) and 8 mL THF were added to a flame-dried Schlenk under argon. The solution was cooled to -78°C and charged with 3.28 mmol LDA (solution in 8 mL THF). The reaction mixture was warmed to RT and stirred for 1.5 h, during which
- 10           time a pale yellow solution formed. This was added via cannula to a pre-cooled (-78°C) solution of 1.00g (3.28 mmol) dimesitylchlorophosphine in 8 mL THF. The resulting yellow/orange solution was warmed to RT and stirred for 2 h. The solvent was removed *in vacuo* and the residue was extracted with 30 mL hexanes and passed through a plug of silica gel. The extract solvent was removed *in vacuo* to yield a pale yellow
- 15           amorphous solid. Pentane (20 mL) was added with vigorous stirring to yield a white powder. The product was isolated via filtration, washed with 10 mL pentane, and dried *in vacuo* to yield 0.158g (12 %) **L29**. <sup>1</sup>H NMR (300 MHz, CD<sub>2</sub>Cl<sub>2</sub>). δ 7.81 (d, 2H, aryl H<sub>o</sub>), 7.51 (t, 1H, aryl H<sub>p</sub>), 7.37 (m,

2H, aryl H<sub>m</sub>), 6.73 (d, 4H, Mes H<sub>m</sub>), 4.15 (d, 2H, -CH<sub>2</sub>-), 2.20 (s, 6H, Mes-Me<sub>p</sub>), 2.16 (s, 12H, Mes-Me<sub>o</sub>). <sup>31</sup>P{<sup>1</sup>H} NMR (121 MHz, CD<sub>2</sub>Cl<sub>2</sub>). δ -25.7.

**Synthesis of 29.** A solution of 75 mg (0.193 mmol) **L29** in 8 mL Et<sub>2</sub>O was added via cannula to a solution of 25.5 mg (0.094 mmol) Ni-allyl dimer in 5 mL Et<sub>2</sub>O. The resulting orange-yellow solution was stirred at RT for 3 h. The solvent was removed *in vacuo* to yield a orange/yellow microcrystalline solid. The product was dried *in vacuo* to yield 52 mg (53%) of a solid. <sup>1</sup>H NMR (400 MHz, CD<sub>2</sub>Cl<sub>2</sub>). δ 7.68 (broad s, 2H, aryl H<sub>o</sub>), 7.35 (broad s, 1H, aryl H<sub>p</sub>), 7.32 (broad s, 2H, aryl H<sub>m</sub>), 6.84 (s, 4H, Mes H<sub>m</sub>), 5.40 (broad s, 1H, allyl), 4.43 (broad s, 2H, allyl), 3.43 (broad s, 2H, allyl), 2.46 (s, 6H, Mes-Me<sub>p</sub>), 2.20 (s, 12H, Mes-Me<sub>o</sub>). <sup>31</sup>P{<sup>1</sup>H} NMR (161.8 MHz, CD<sub>2</sub>Cl<sub>2</sub>). δ -0.66. This solid (50 mg, 0.095 mmol), NaBAF (84 mg, 0.095 mmol), and 10 mL Et<sub>2</sub>O were added to a flame-dried Schlenk flask at -78°C. The reaction was warmed to room temperature and stirred for 1.5 h. The pale yellow solution was isolated via cannula filtration and the solvent removed to yield a yellow oil. Pentane (20 mL) was added with vigorous stirring to yield a yellow powder. The product was isolated via filtration, washed with 10 mL pentane, and dried *in vacuo* to yield 86 mg (67 %) **29**. <sup>1</sup>H NMR (400 MHz, CD<sub>2</sub>Cl<sub>2</sub>). δ 8.02 (d, 2H, aryl H<sub>o</sub>), 7.83 (t, 1H, aryl H<sub>p</sub>), 7.72 (s, 8H, BAF), 7.57 (m, 2H, aryl H<sub>m</sub>), 7.55 (s, 4H, BAF), 6.98 (d, 4H, Mes H<sub>m</sub>), 5.87 (m, 1H, allyl), 4.84 (broad s, 1H, allyl), 3.70 (d, 1H, allyl), 3.06 (s, 1H, allyl), 2.42 (s, 12H, Mes-Me<sub>o</sub>), 2.29 (s, 6H, Mes-Me<sub>p</sub>), 1.97 (d, 1H, allyl). <sup>31</sup>P{<sup>1</sup>H} NMR (161.8 MHz, CD<sub>2</sub>Cl<sub>2</sub>). δ 4.83.

#### Examples 211-216

Polymerization of Ethylene (1 atm., 0 Pa gauge) with **29**. Followed same procedure as Examples 130-146 using 2.0 mg (1.48 μmol) **3**. Results are listed in Table 36.

Table 36

Ex.	Temp (°C)	Time (h)	Yield (g)	TON
211	25	1	2.170	5249 0
212	25	3	4.141	9975 0
213	40	1	1.797	4329 0
214	40	3	4.835	1164 70
215	60	1	1.633	3934 0
216 <sup>a</sup>	60	3	1.868	2599 0

<sup>a</sup>M<sub>n</sub> = 1090, 5 branches/1000 carbons (determined by <sup>1</sup>H NMR)

Example 217

- 5            Polymerization of Ethylene (1.38 MPa) with **29**. Followed same procedure as Examples 111-115 using 2.0 mg (1.48 μmol) **3**. Results are listed in Table 37.

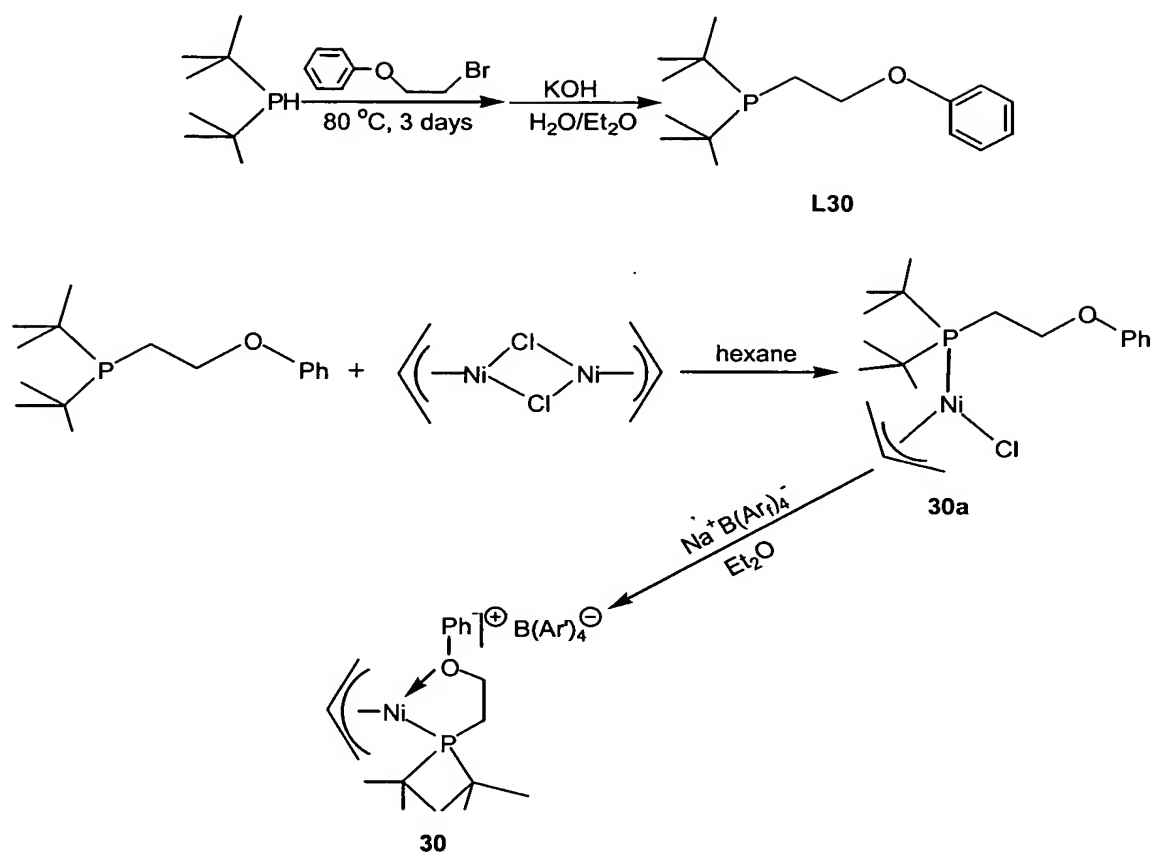
Table 37

Ex.	Temp (°C)	Time (h)	Yield (g)	TON
217	25	1	28.170	67857 0

10



### Example 218



5           **Synthesis of (19a).** **L19**,  $t\text{-Bu}_2\text{PCH}_2\text{CH}_2\text{OPh}$ , was synthesized using a procedure described by h. Werner et al., *Organometallics* 2000, 19, 4756. A Schlenk flask was charged with  $[\text{Ni}(\text{C}_3\text{H}_5)\text{Cl}]_2$  (54 mg, 0.2 mmol) and 15 mL dry, air-free hexane. The flask was cooled to  $-78^\circ\text{C}$  and a solution of **L19** (103 mg, 0.4 mmol) in 10 mL hexane was added  
10 with stirring. The reaction mixture was allowed to warm to RT and stirred for 1.5 h. Product precipitated out as yellow solid. The solid was filtered and dried under vacuum to give 88 mg (56%) of **19a**.  $^1\text{H}$  NMR ( $\text{CD}_2\text{Cl}_2$ , 300 M):  $\delta$  6.85 - 7.2 ppm (total 5H, Ar-H); 5.25 (m, 1H, central allyl H); 4.21 (broad, 2H); 3.95 (broad, 1H); 3.1 (broad, 1H); 2.9 (broad, 1H); 2.2 (broad, 2H); 1.6 (broad, 1H); 1.25 (d, 18H, t-Bu H).  $^{31}\text{P}$  NMR ( $\text{CD}_2\text{Cl}_2$ , 121 M):  $\delta$   
15 48.78 ppm.

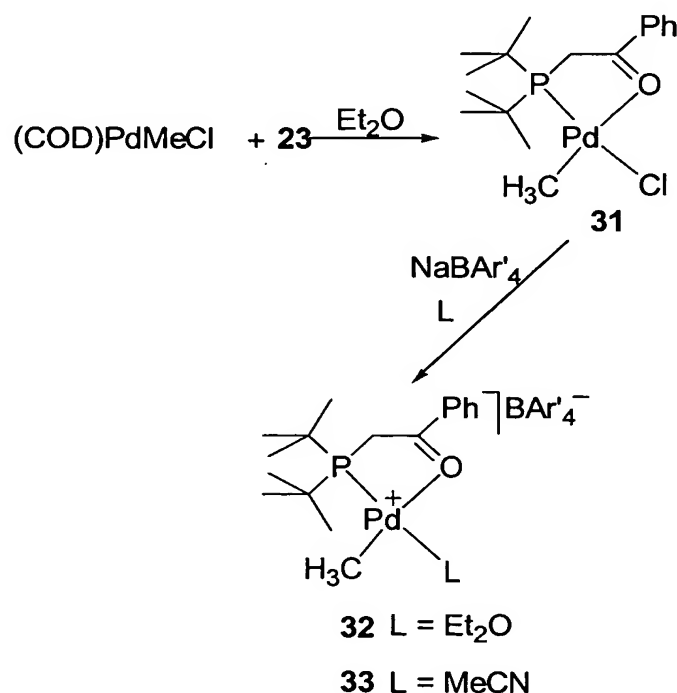
**Synthesis of 19.** A Schlenk flask was charged with the nickel chloride **19a** (80 mg, 0.2 mmol) and 20 mL dry, air-free diethyl ether. After the yellow suspension was cooled to  $-78^\circ\text{C}$ , a solution of NaBAF (178 mg, 0.2 mmol) in 10 mL diethyl ether was added with stirring. The reaction  
20 mixture was allowed to warm to RT and stirred for 1.5 h, then filtered via

cannula. 10 mL hexane was added to the filtrate. Removal of solvents afforded 0.20 g (81%) of **19** as yellow solid.  $^1\text{H}$  NMR ( $\text{CD}_2\text{Cl}_2$ , 300 M):  $\delta$  7.5 - 7.8 ppm (total 12 H, BAF-H); 7.1 to 7.5 (total 5H, Ar-H); 5.5 (m, 1H, central allyl H); 4.4 (m, 1H); 4.2 (m, 1H); 3.3 (broad, 2H); 3.0 (d of d, 1H); 2.05 (m, 1H); 1.9 (broad doublet, 2H); 1.3 (d of d, 18H, t-Bu H).  $^{31}\text{P}$  NMR ( $\text{CD}_2\text{Cl}_2$ , 121 M):  $\delta$  72.35 ppm.

#### Example 219

Polymerization was carried out in a 1000 mL, mechanically stirred Parr® reactor equipped with an electric heating mantle controlled by a thermocouple in the reaction mixture and a cooling system. The reactor was heated under vacuum at 100°C for 1 h before use. After the reactor was purged with ethylene three times, 185 mL dry, air-free toluene was added via syringe. The solvent was then purged with ethylene at 2.76 MPa three times. **19** (5 mg, 4.1  $\mu\text{mol}$ ) was dissolved in 15 mL toluene and was rapidly added to the reactor via cannula. The reaction mixture was heated to 60°C and stirred under 2.76 MPa ethylene for 1 h. The reaction was quenched by addition of acetone and methanol. Removal of solvents afforded 4.23 g colorless oil. TON  $\approx$  37,000. Mn  $\approx$  190 (determined by  $^1\text{H}$  NMR), 80% linear  $\alpha$ -olefins and 20% vinylidenes.

#### Examples 220-221



**Synthesis of 31:** **23** (0.441 g, 1.67 mmol) was dissolved in 10 mL diethyl ether and added via cannula to a solution of (COD)PdMe(Cl) (0.400 g, 1.51 mmol) in 25 mL diethyl ether. The resulting white-yellow suspension was stirred at room temperature for 6 hours. The white powder product was isolated by filtration, washed with diethyl ether (2 x 10 mL), and dried *in vacuo* to yield **31** (0.513 g, 81% yield). <sup>1</sup>H NMR (300 MHz, CD<sub>2</sub>Cl<sub>2</sub>): δ 8.06 (d, 2H, *J* = 1.8 Hz, Ar-H<sub>O</sub>), 7.74 (t, 1H, *J* = 7.5 Hz, Ar-H<sub>P</sub>), 7.55 (m, 2H, Ar-H<sub>M</sub>), 3.58 (d, 2H, <sup>2</sup>*J*<sub>HP</sub> = 9.3 Hz, -CH<sub>2</sub>-), 1.37 (d, 18H, <sup>3</sup>*J*<sub>HP</sub> = 14.4 Hz, -C(CH<sub>3</sub>)<sub>3</sub>), 1.03 (d, 3H, <sup>3</sup>*J*<sub>HP</sub> = 2.1 Hz, Pd-CH<sub>3</sub>). <sup>13</sup>C{<sup>1</sup>H} NMR (75.5 MHz, CD<sub>2</sub>Cl<sub>2</sub>): δ 206.0 (d, <sup>2</sup>*J*<sub>CP</sub> = 3.1 Hz, C=O), 136.0 (Ar-C<sub>P</sub>), 134.5 (d, <sup>3</sup>*J*<sub>CP</sub> = 3.9 Hz, Ar-C<sub>ipso</sub>), 130.5 (Ar-C<sub>O</sub>), 129.5 (Ar-C<sub>M</sub>), 37.2 (d, *J*<sub>CP</sub> = 20.0 Hz, -CH<sub>2</sub>-), 35.7 (d, *J*<sub>CP</sub> = 16.8 Hz, -C(CH<sub>3</sub>)<sub>3</sub>), 29.2 (d, <sup>2</sup>*J*<sub>CP</sub> = 4.7 Hz, -C(CH<sub>3</sub>)<sub>3</sub>), -11.6 (d, <sup>2</sup>*J*<sub>CP</sub> = 2.0 Hz, Pd-CH<sub>3</sub>). <sup>31</sup>P{<sup>1</sup>H} NMR (162 MHz, CD<sub>2</sub>Cl<sub>2</sub>): δ 70.5. IR (CH<sub>2</sub>Cl<sub>2</sub>): ν (C=O) = 1626 cm<sup>-1</sup>. Anal Calcd for C<sub>17</sub>H<sub>28</sub>ClOPPd: C, 48.47; H, 6.70. Found: C, 48.99; H, 6.98.

#### Example 220

**Synthesis of 32:** **31** (0.100 g, 0.237 mmol) and NaBAr'<sub>4</sub> (0.220 g, 0.243 mmol) was added to a Schlenk flask and cooled to -78°C. Diethyl ether (15 mL) was added and the resulting pale-yellow solution was slowly warmed to 0°C and stirred for 3 h. The yellow solution was separated from the gray solid NaCl precipitate via cannula filtration, and the solvent was removed *in vacuo* to yield a white-yellow oily residue. Pentane (15 mL) was added and the mixture was vigorously stirred at 0 °C for 1 h, during which time a white-gray solid precipitated from the clear, colorless solution. The product was isolated by filtration, washed with pentane (2 x 10 mL), and dried *in vacuo* to yield **32** (0.223 g, 71% yield). The product was recrystallized from diethyl ether/pentane. <sup>1</sup>H NMR (300 MHz, CD<sub>2</sub>Cl<sub>2</sub>): δ 8.03 (d, 2H, *J* = 7.6 Hz, Ar-H<sub>O</sub>), 7.81 (t, 1H, *J* = 7.5 Hz, Ar-H<sub>P</sub>), 7.58 (m, 2H, Ar-H<sub>M</sub>), 3.81 (q, 4H, *J* = 7.0 Hz, -O(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>), 3.71 (d, 2H, <sup>2</sup>*J*<sub>HP</sub> = 9.6 Hz, -CH<sub>2</sub>-), 1.50 (t, 6H, *J* = 7.0 Hz, -O(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>), 1.39 (d, 18H, <sup>3</sup>*J*<sub>HP</sub> = 15.6 Hz, C(CH<sub>3</sub>)<sub>3</sub>), 0.93 (s, 3H, Pd-CH<sub>3</sub>). <sup>13</sup>C{<sup>1</sup>H} (75.5 MHz, CD<sub>2</sub>Cl<sub>2</sub>, 273 K): δ 207.1 (C=O), 137.5 (Ar-C<sub>P</sub>), 133.2 (d, <sup>3</sup>*J*<sub>CP</sub> = 4.0 Hz, Ar-C<sub>ipso</sub>), 130.6 (Ar-C<sub>O</sub>), 129.9 (Ar-C<sub>M</sub>), 70.2 (-O(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>), 37.6 (d, <sup>2</sup>*J*<sub>CP</sub> = 23.0 Hz, -CH<sub>2</sub>-), 36.4 (d, *J*<sub>CP</sub> = 20.0 Hz, -C(CH<sub>3</sub>)<sub>3</sub>), 28.8 (d, <sup>2</sup>*J*<sub>CP</sub> = 3.8 Hz, -C(CH<sub>3</sub>)<sub>3</sub>), 16.2 (-O(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>), -7.1 (Pd-CH<sub>3</sub>). <sup>31</sup>P{<sup>1</sup>H} NMR (121 MHz, CD<sub>2</sub>Cl<sub>2</sub>): δ 79.3. IR (CH<sub>2</sub>Cl<sub>2</sub>): ν (C=O) = 1613 cm<sup>-1</sup>. Anal Calcd for C<sub>53</sub>H<sub>50</sub>BF<sub>24</sub>O<sub>2</sub>PPd: C, 48.11; H, 3.81. Found: C, 48.19; H, 3.74.

### Example 221

**Synthesis of 33:** **31** (0.200 g, 0.48 mmol) and NaBAR'<sub>4</sub> (0.442 g, 0.50 mL) were added to a Schlenk flask and cooled to -78°C. Methylene chloride (20 mL) and 0.50 mL acetonitrile (9.49 mmol, 20 eq.) were added via syringe. The resulting pale-yellow solution was allowed to slowly warm to RT and stirred for 3 h. The yellow solution was isolated from the gray solid NaCl precipitate via cannula filtration, and the solvent was removed *in vacuo* to yield a yellow-brown oily residue. Pentane (20 mL) was added and the mixture was vigorously stirred for 2 h, during which time a white-gray solid precipitated from the clear, colorless solution. The product was isolated by filtration, washed with pentane (2 x 15 mL), and dried *in vacuo* to yield **33** (0.478 g, 78% yield). The product was recrystallized from diethyl ether/pentane. <sup>1</sup>H NMR (400 MHz, CD<sub>2</sub>Cl<sub>2</sub>): δ 8.05 (d, 2H, *J* = 8.6 Hz, Ar-H<sub>o</sub>), 7.80 (t, 1H, *J* = 7.6 Hz, Ar-H<sub>p</sub>), 7.58 (m, 2H, Ar-H<sub>m</sub>), 3.65 (d, 2H, <sup>2</sup>*J*<sub>HP</sub> = 9.2 Hz, -CH<sub>2</sub>-), 2.35 (s, 3H, -NCCH<sub>3</sub>), 1.37 (d, 18H, <sup>3</sup>*J*<sub>HP</sub> = 15.2 Hz, -C(CH<sub>3</sub>)<sub>3</sub>), 0.97 (s, 3H, Pd-CH<sub>3</sub>). <sup>13</sup>C{<sup>1</sup>H} NMR (75.5 MHz, CD<sub>2</sub>Cl<sub>2</sub>, 273 K): δ 207.4 (C=O), 137.2 (Ar-C<sub>p</sub>), 133.4 (d, <sup>3</sup>*J*<sub>CP</sub> = 4.2 Hz, Ar-C<sub>ipso</sub>), 130.6 (Ar-C<sub>o</sub>), 129.8 (Ar-C<sub>m</sub>), 118.5 (-NCCH<sub>3</sub>), 37.1 d, *J*<sub>CP</sub> = 22.6 Hz, -CH<sub>2</sub>-), 35.9 (d, *J*<sub>CP</sub> = 19.6 Hz, -C(CH<sub>3</sub>)<sub>3</sub>), 28.8 (d, <sup>2</sup>*J*<sub>CP</sub> = 4 Hz, -C(CH<sub>3</sub>)<sub>3</sub>), 3.2 (-NCCH<sub>3</sub>), -10.4 (Pd-CH<sub>3</sub>). <sup>31</sup>P{<sup>1</sup>H} NMR (162 MHz, CD<sub>2</sub>Cl<sub>2</sub>): δ 75.2. IR (CH<sub>2</sub>Cl<sub>2</sub>): ν (C=O) = 1617 cm<sup>-1</sup>. Anal Calcd for C<sub>51</sub>H<sub>43</sub>BF<sub>24</sub>NOPPd: C, 47.50; H, 3.36; N, 1.09. Found: C, 47.73; H, 3.33; N, 1.22.

### Examples 222-233

**General Procedure for 0 MPa Polymerizations: 32 or 33** (10.0 μmol) was added to a flame-dried Schlenk flask under argon. The flask was backfilled three times with 1 atm ethylene (0 Pa, gauge) and charged with 25 mL toluene. The flask was immediately placed into an oil bath (warmed to the desired reaction temperature) and stirred under 0 Pa ethylene for the 3 h. The reaction was quenched with acetone and the volatiles were removed *in vacuo*. The residue was extracted into hexanes and passed through a plug of 2:1 silica gel/alumina. The solvent was removed on a rotovap and the resulting clear, colorless oil was dried *in vacuo*.

**General Procedure for 1.38 MPa Polymerizations:** A 1000 mL Parr® autoclave was heated under vacuum at 100°C for 1 h and was then cooled and backfilled with ethylene. Toluene (200 mL) was added, the autoclave was sealed, and the ethylene pressure was raised to ca. 3 atm.

The reactor temperature was established and the solvent was allowed to stir for 10 min. The autoclave was then vented, the catalyst solution (3.88  $\mu\text{mol}$  **32** or **33** in 5 mL toluene) was added, and the autoclave was sealed and pressurized to 1.38 MPa ethylene pressure while stirring for 3 h. The reaction was quenched by venting the autoclave followed by addition of acetone. The contents were transferred to a 500 mL RB flask and the solvent was removed on a rotovap. The residue was extracted into hot toluene and filtered to removed Pd black. The solvent was removed and the resulting colorless amorphous solid was dried *in vacuo*.

Results of all polymerizations are given in Table 38.

Table 38

Ex.	Pres. MPa	cat	temp. (°C)	yield (g)	TON <sup>a</sup>	M <sub>w</sub> <sup>b</sup>
222	0	<b>32</b>	25	0.204	720	300 <sup>f</sup>
223	0	<b>32</b>	60	0.612	2200	300
224	0	<b>33</b>	25	0.009	30	350
225	0	<b>33</b>	60	0.352	1300	330
226	1.38	<b>32</b>	25	0.135	1200	380
226	1.38	<b>32</b>	40	0.497	4600	280
228	1.38	<b>32</b>	60	1.971	18100	290
229	1.38	<b>32</b>	80	6.582	60500	280
230	1.38	<b>33</b>	25	0.052	500	400
231	1.38	<b>33</b>	40	0.427	3900	370
232	1.38	<b>33</b>	60	2.445	22500	370
233	1.38	<b>33</b>	80	6.526	60000	340

<sup>a</sup> TON mol PE per mol catalyst. <sup>b</sup> Determined by GPC.

#### Example 234

The following illustrates a test for an “active ligand”. A series of ethylene homopolymerizations and copolymerizations were carried out under the conditions of Test 1 or Test 2 for “active ligands,” or under conditions close to those described. The catalyst was F-5, and the polymerizations were carried out at 5.52 MPa ethylene pressure and 100°C. The results are presented in Table 39. From the results in Table 39, F-5 qualifies as an active ligand under both of Tests 1 and 2 for active catalysts.

Table 39

Polymer Yield (g)	mmol cat.	Co-catalyst and additives	Time (h)
16.95	0.0085	10 eq. B(Ph) <sub>3</sub>	1
15.97	0.0085	10 eq B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub>	1
14.26	0.0085	none	1
5.13	0.0092	No borane 60 mL E-10-U	2
4.61	0.0092	10 eq B(C <sub>6</sub> F <sub>5</sub> ) <sub>3</sub> 60 mL E-10-U	2